# The Coagulation System

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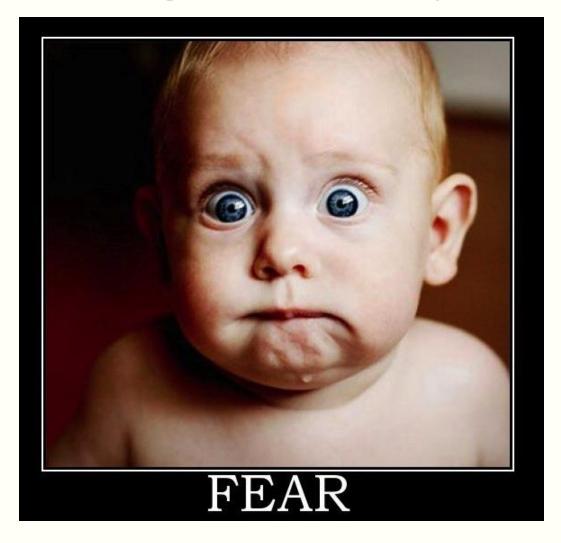


## **Disclosures**

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- ➤ Data Safety Monitoring Committee
  - > Alpine Immune Sciences
- ➤ Advisory Boards (Past 2 years)
  - > Sanofi
  - > Novartis

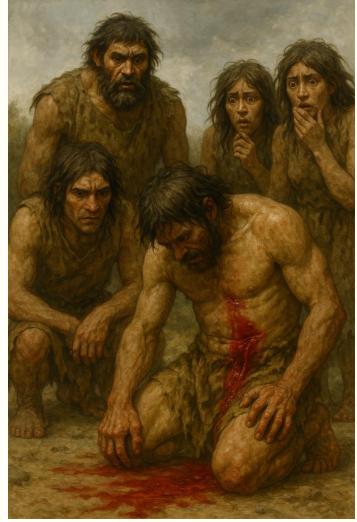


# **Coagulation System**



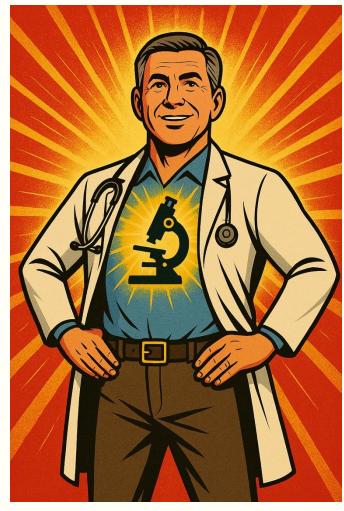
https://kidsfirstpediatrics.com/babies-separation-anxiety/

# One of the most primitive emotions is the terror and fear of hemorrhage.



www.HematologyEducationOnline.com

I'm a hematologist! I can stop your bleeding! What is your superpower?



Slide 4

September 4, 2025



# What We'll Cover

- 1. Overview of Hemostasis and Coagulation
- 2. The "Classic Coagulation Cascade"
- 3. The Structure of the Coagulation Factors
- 4. Two Paths To Initiate Coagulation: Intrinsic and Extrinsic Systems
- 5. Overview of the Contact Phase: Initiation of Intrinsic Pathway
- 6. Fibrinogen: Fibrin
- 7. Limitations of the Classic Coagulation Cascade
- 8. Cell-Based Coagulation Model:
  Assembly Of Enzyme/Cofactor/Substrate Complex On Phospholipid Surface
- 9. "Cross-Over" of Extrinsic and Intrinsic Pathways
- 10. Activation of Factors V, VIII, XI, XIII by Thrombin: Thrombin Burst
- 11. Physiologic Anticoagulant Processes

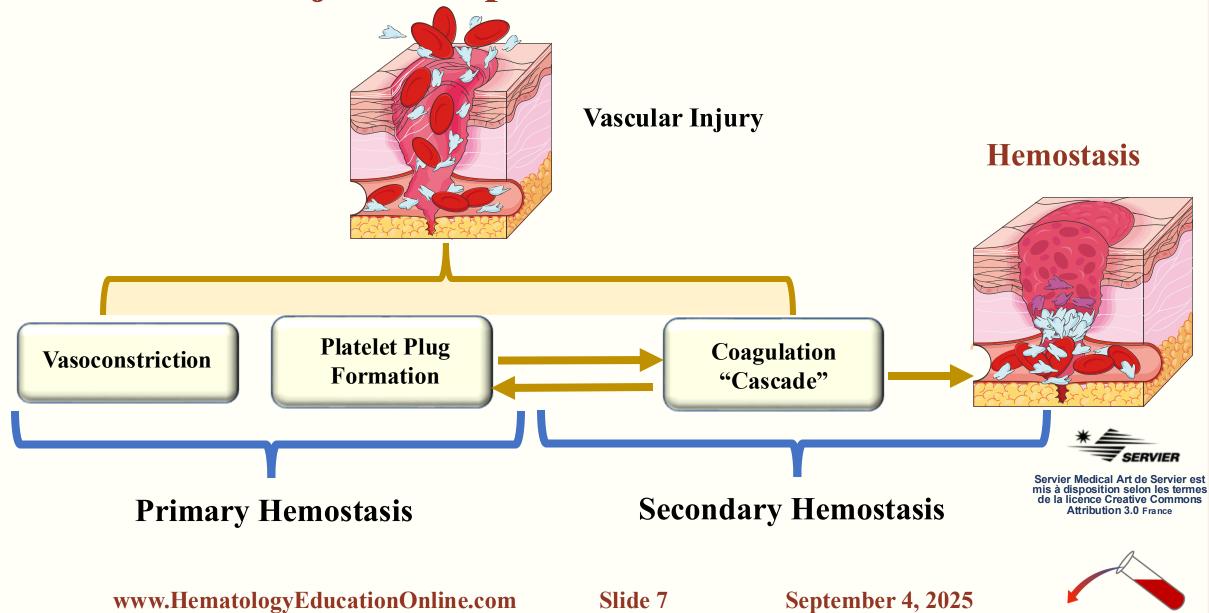


# Overview of Hemostasis and Coagulation

- > Hemostasis: The processes of keeping the blood liquid in the vasculature.
  - > Prevention of hemorrhage following vascular injury.
  - > Prevention of excessive clotting (thrombosis) in the vasculature.
- > Primary Hemostasis
  - > Vascular forces (vasoconstriction) and platelet plug formation.
- > Secondary Hemostasis
  - > The coagulation factors leading to fibrin clot.
- > Physiologic Anticoagulation processes
  - > Neutralize activated factors and inhibit platelet function where vessels are intact.
  - > Fibrinolysis



# **Major Components of Hemostasis**



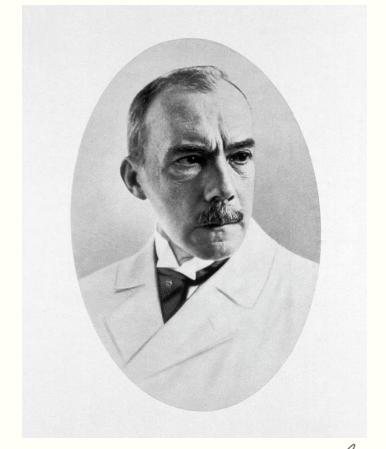
## The Dawn of In Vitro Studies

- > Contact Activation:
  - > Described by Joseph Lister in 1863
  - > Blood would clot when brought in contact with a surface other than vascular endothelium.
- > Tissue Thromboplastin:
  - > Tissue extract accelerated blood clotting.
  - > Described by Nicolas Maurice Arthus in 1902.
- > Calcium Chelation could prevent blood clotting, and recalcification could restore the ability to clot:
  - > Maurice Arthus and Calixte Pagès, in 1890



# The "Classic Coagulation Cascade" Early Understanding of Coagulation

- > The first description of coagulation factors is attributed to Dr. Paul Morawitz in 1905.
- ➤ Factor I Fibrinogen
- > Factor II Prothrombin
- > Factor III Thromboplastin Factor
  - > (Tissue extract with Tissues Factor)
- ➤ Factor IV Calcium

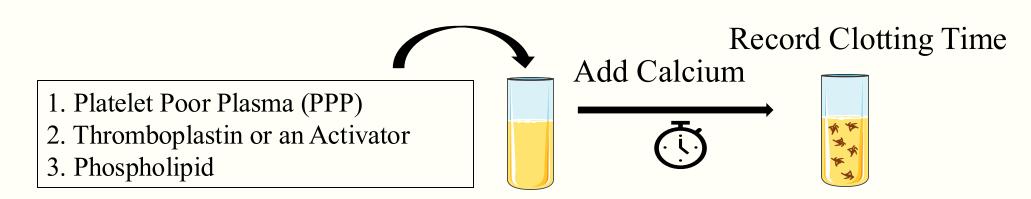


> (Morawitz P (1905). "Die Chemie der Blutgerinnung". Ergebn Physiol (in German). 4: 307–422. doi:10.1007/BF02321003.)



# **Assays of Coagulation**

- > The prothrombin time in 1935.
  - > Quick A, et al, Am.J. Med Sci., 1935
- > Partial Thromboplastin Time in 1952.
  - > Langdell RD, et al, J. Lab. Clin. Med, 1952
- > One, or both, of the tests are prolonged in individuals and families, who had bleeding tendency.





# Mixing Studies Identified New Factors (New Deficiencies)

Plasma from patient with unknown bleeding disorder

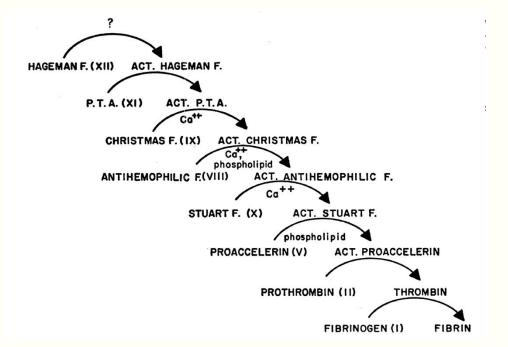


Plasma from patient with known bleeding disorder (i.e. Hemophilia A)

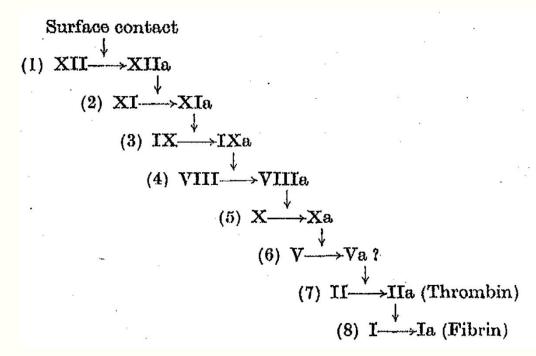
- > Perform Clotting Time on 1:1 mix of patient with known deficiency with an unrelated individual.
- > If the 1:1 mix remains prolonged, then the unknown sample has the same deficiency as the known deficiency.
- > If the 1:1 mix "corrects," then the unknown sample has a different deficiency than the known deficiency.
- > From the 1930s through the 1950s, most of the factors were identified in this way.
- > Limitations:
  - > Hematologists and labs needed to have access to many patient-derived aliquots of plasma.
  - > Inhibitors will interfere.



# Original Publications Of Coagulation Cascade



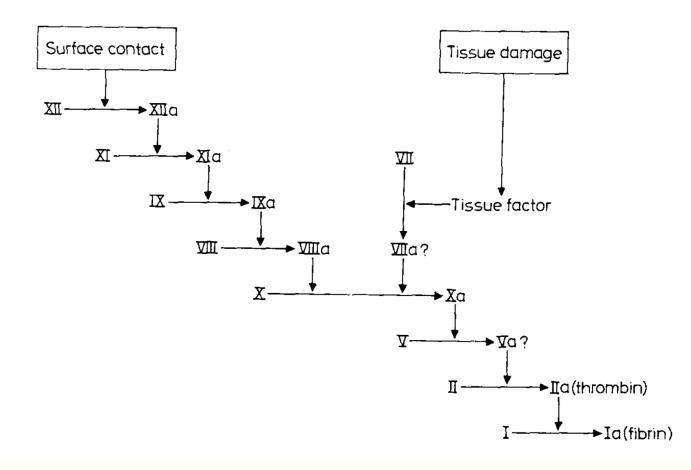
Davie, E. W., and Ratnoff, O. D. "Waterfall sequence for intrinsic blood clotting." Science 1964: 145, 1310–1312



MacFarlane R.G. "An enzyme cascade in the blood clotting mechanism, and its function as a biological amplifier." Nature 1964; 202: 498-9

Note: Neither representation included Factor VII, of the Extrinsic Pathway!

# "A clotting scheme for 1964" The First Representation of the Current Cascade.





> Robert Gwyn Macfarlane,

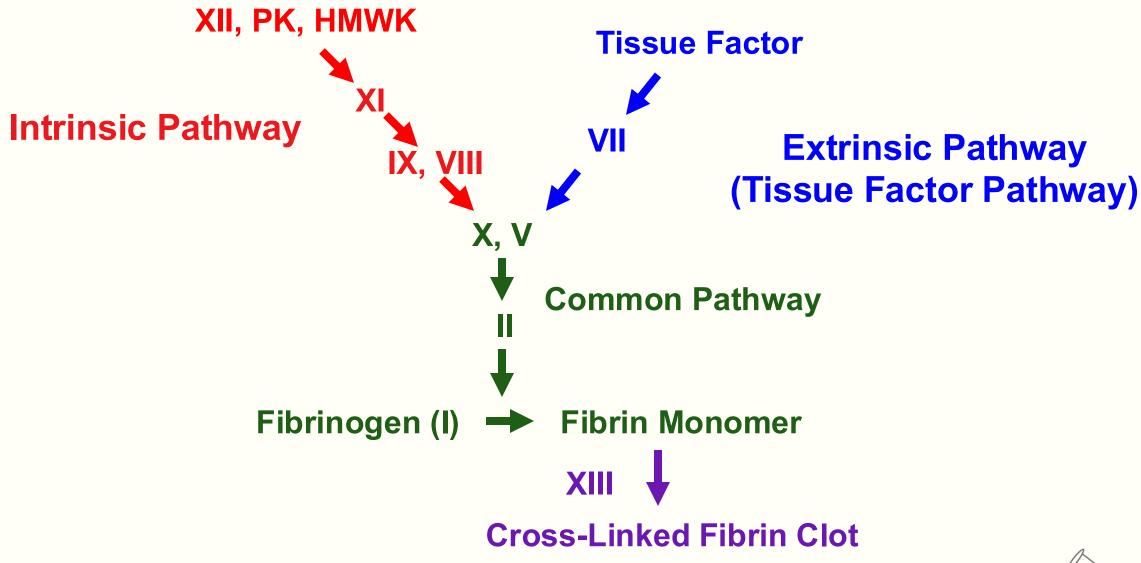
Macfarlane, RG. "A clotting scheme for 1964". Thrombosis et Diathesis Haemorrhagica, supplement. 17: 45-52, 1965.

## **Key Concepts From Original Publications Of The Coagulation Cascade**

- 1. Coagulation involves a sequence of reactions.
- 2. Convention has shifted from names (of the first probands) to Roman numerals.
- 3. Factors circulate in non-activated forms.
  - a. Zymogens or pro-enzymes
  - b. Pro-cofactors
- 4. Factors are activated by proteolytic cleavage by an "upstream" factor and in turn activate a "downstream" factor.
- 5. Terminology:
  - a. Subscript "a" designates activated factor. (VIII → VIIIa)
  - b. "i" refers to inactivated. (VIII → VIIIa → VIIIi)
- 6. A number of gaps, corrections, and open questions remained. (To be discussed below).



# **Contemporary Representation of the Coagulation Cascade**

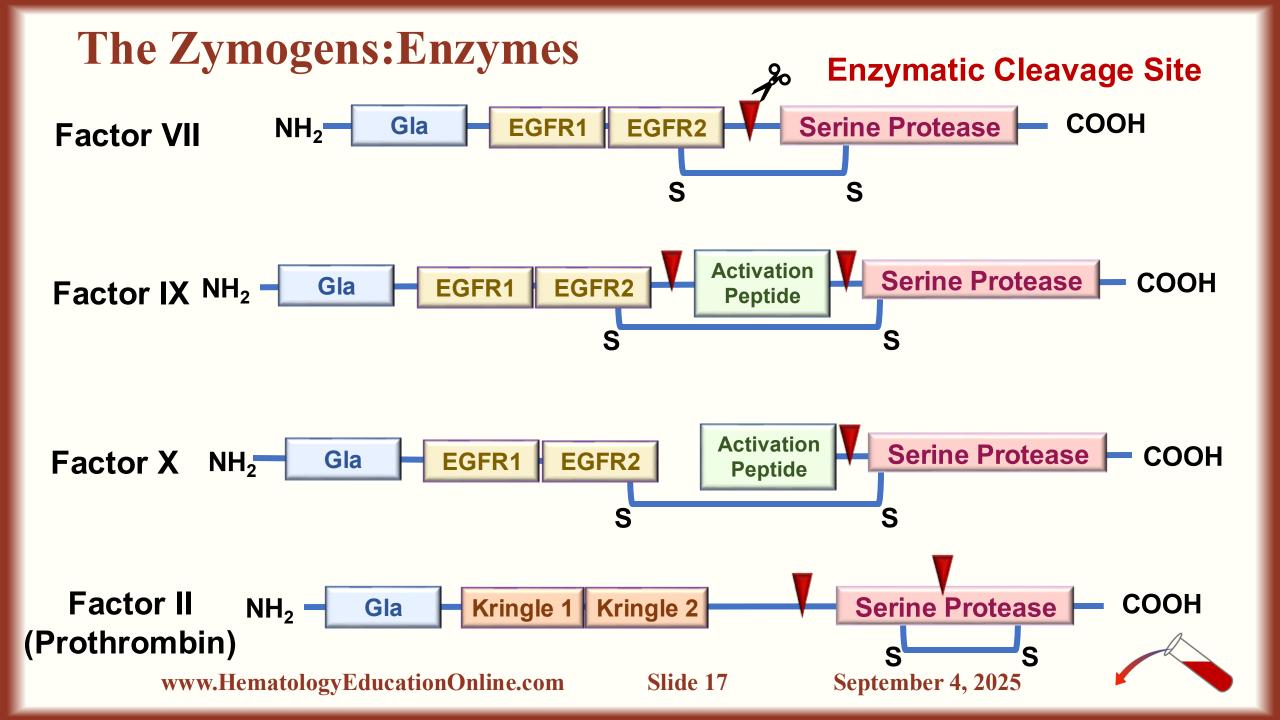


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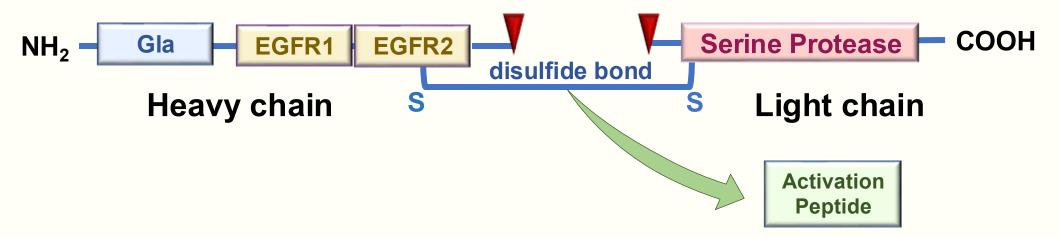
# The Structure of the Coagulation Factors





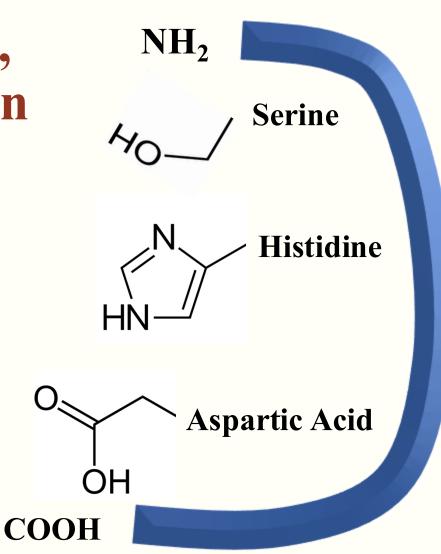
# Factor IX Activation: Two Step Enzymatic Cleavage Site

#### **Enzymatic Cleavage Sites**



- > After activation, heavy and light chains remain covalently bound by disulfide bonds.
- > Heavy chain facilitates binding to substrate.
- > Gamma-Carboxyglutamic Acid (Gla) domain is in Heavy Chain.
- > Light Chain contains the serine protease enzymatic domain.
- > Substrate specificity determined by Heavy Chain binding and structure of the serine protease domain.
  - > Emsley et al. Blood 2010;115:2569-2577

# Serine Protease, **Catalytic Domain**



**Enzyme Backbone** 

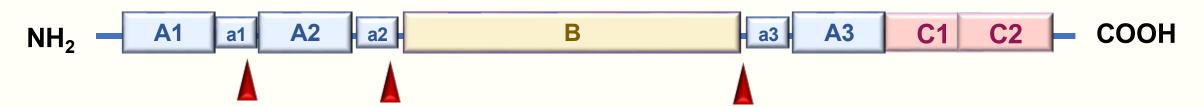
Serine, Histidine and Aspartic acid; amino acids in catalytic domain.

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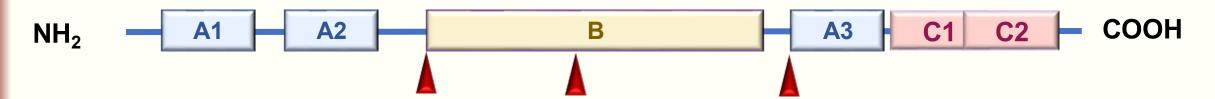


## **Cofactors**

#### **Factor VIII**



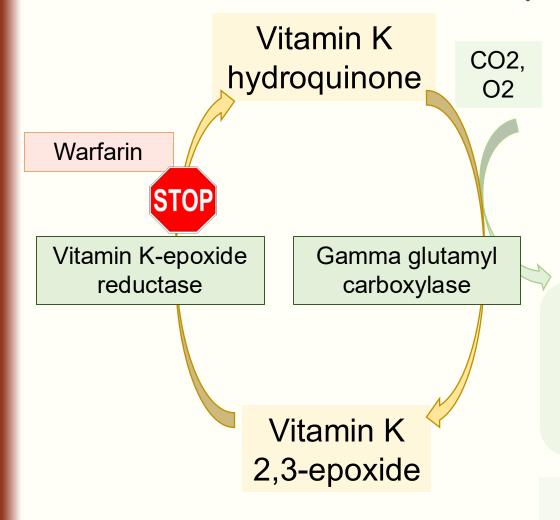
#### **Factor V**

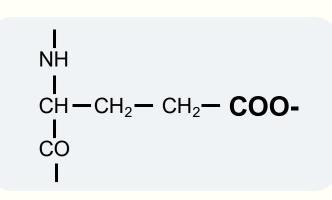


Dahlback B. JTH 15: 1241-1250, 2017 Camire & Bos. JTH, 7: 1951–1961, 2009



# Vitamin K Mediated γ-Carboxylation of Glutamic Acid



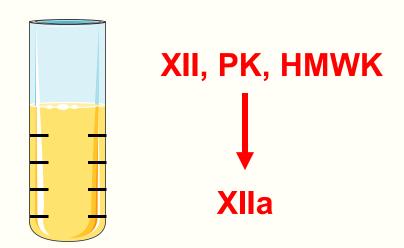


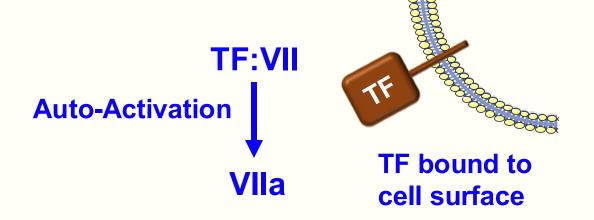
Glutamic Acid: Single negative charge

Gamma-Carboxyglutamic Acid (Gla): Divalent negative charge. Can bind calcium

# There Are Two Ways to Initiate the Coagulation System in Vitro

Intrinsic Pathway/Contact Pathway: Contact with a Negatively Charged Surface Extrinsic Pathway:
Addition of Tissue Thromboplastin
(Tissue Factor and Phospholipid)







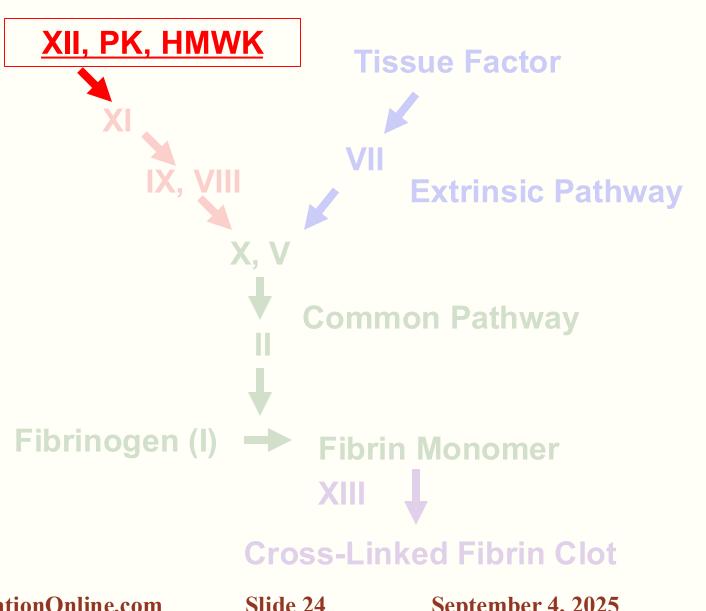
# Overview of the Contact Phase: Initiation of Intrinsic Pathway



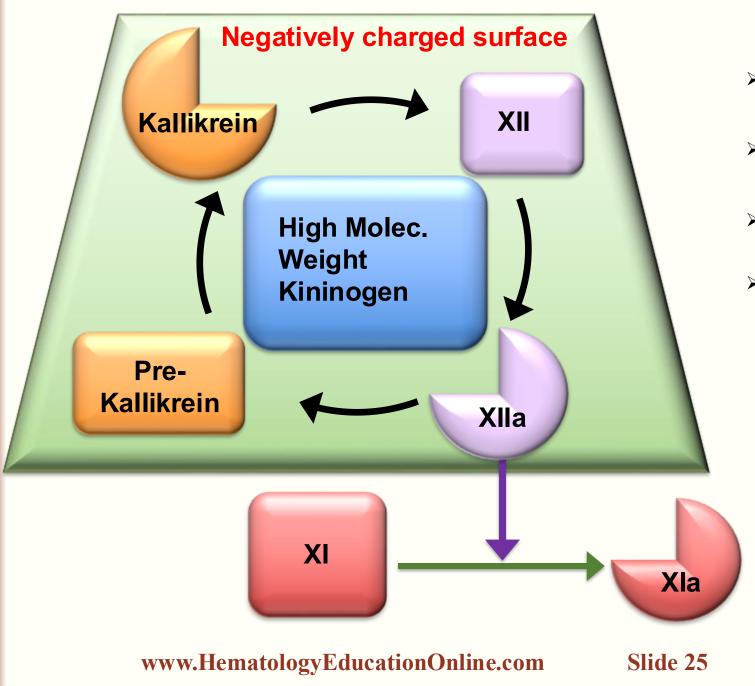
#### **Contact System**

- Activated by binding to a negatively charged surface or substance.
- **Initiates the Intrinsic Pathway**

- Factor XII
- **Prekallikrein**
- **High Molecular Weight** Kininogen





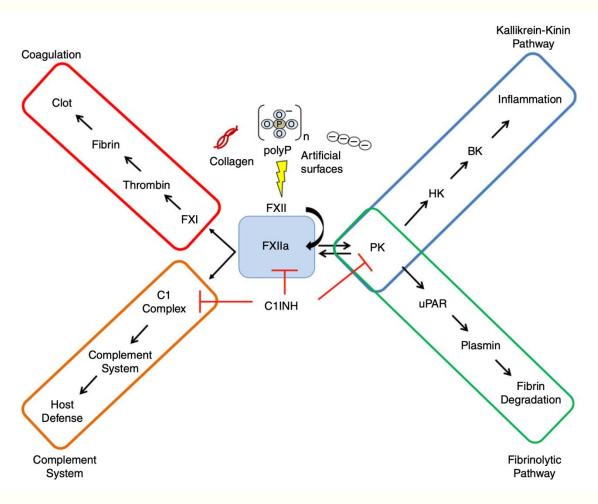


# **Contact System**

- > Minimal contribution to hemostasis in physiologic situations.
- > Deficiencies of the Contact Factors are not associated with bleeding tendency.
- Bradykinin (Derived from HMWK)
  - > Role in inflammation, vascular tone.
- > Increasing evidence that the Contact System has a role in pathological activation of coagulation and thrombosis.



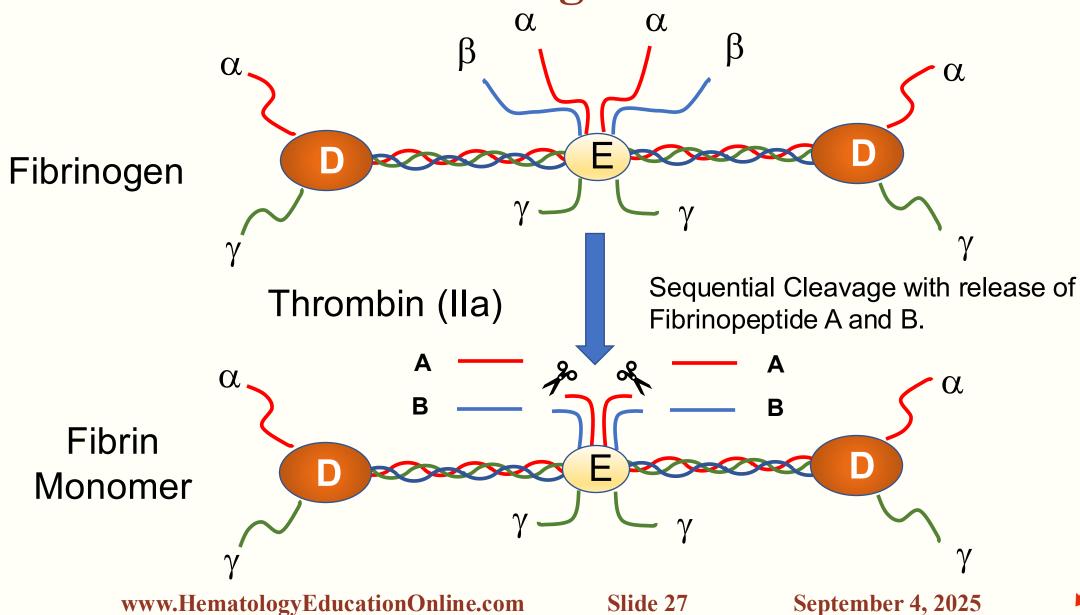
# "Contact system revisited: an interface between inflammation, coagulation, and innate immunity"



- > FXII deficiency is not physiologically associated with an increased bleeding risk.
- Contact system has a role in inflammation, complement system, fibrinolysis, and pathologic thrombosis.
- > Polyphosphate (polyP) from activated platelets and bacteria can activate Factor XII.
- > Neutrophil extracellular traps (NETs), chromatin extruded from activate.d neutrophils can activate the Contact System.
- > F XIIa may increase vascular leak in allergic conditions.
- ➤ Long AT, et al. J Thromb Haemost 2016; 14: 427–37.

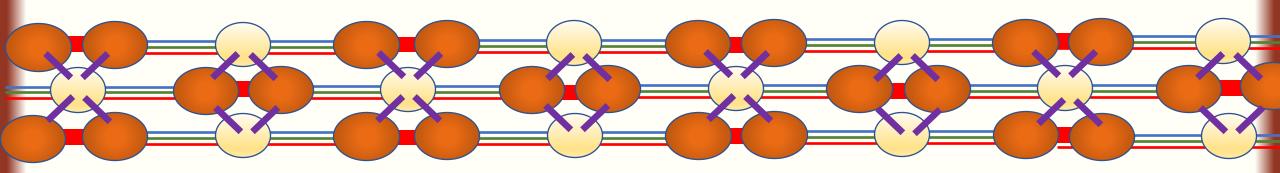


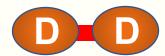
# Fibrinogen: Fibrin



# Factor XIIIa (Transglutaminase): Cross-Link Fibrin

XIIIa: Cross-Links Fibrin Clot

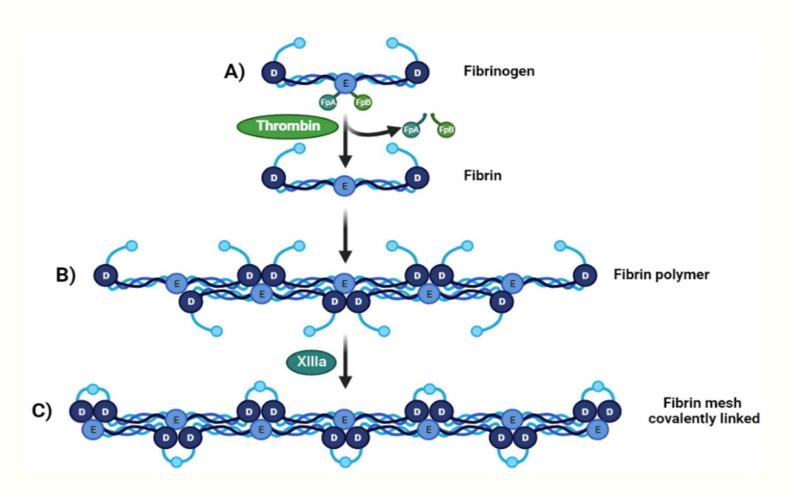








# From fibrinogen to fibrin Mesh



- > (A) Fibrinogen D:E:D regions interact with thrombin-realizing fibrinopeptides (FpA and FpB)
- ➤ (B). Soluble fibrin is then activated by Factor XIIIa, permitting sulfide bonding to crosslink among fibrin, converting it to a
- > (C) crosslinked fibrin polymer.

Rojas-Murillo, J.A. et al, Physical, Mechanical, and Biological Properties of Fibrin Scaffolds for Cartilage Repair. Int. J. Mol. Sci. 2022, 23, 9879. https://doi.org/10.3390/ijms23179879



# Limitations of The Classic Coagulation Cascade

- 1) For years we have recognized the inconsistencies within these pathways to truly inform us of a patient's hemostatic system.
- 2) There are markedly different clinical manifestations of deficiencies of different factors, particularly within the Intrinsic Pathway.
  - > Why do some deficiencies of the Intrinsic Pathway lead to severe bleeding, while other deficiencies do not cause bleeding?
- 3) The classic understanding that factors are activated in a "cascade," from top to bottom, is known to be incorrect.
  - a) No "upstream" factor(s) had been shown to activate Factor V or VIII.
- 4) Some enzymes have multiple substrates, and some factors can be activated by more than one enzyme.
- 5) In the following material, we will address these points and clarify the current understanding of the coagulation system.

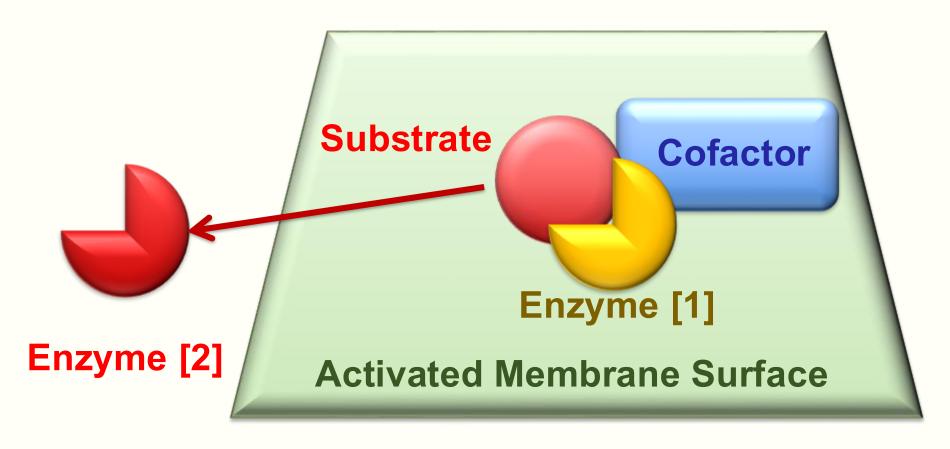


# Cell-Based Coagulation Model: Assembly Of Enzyme/Cofactor/Substrate Complex On Phospholipid Surface



# The Cell (Surface) Based Model Of Coagulation

Coagulation is "Best" understood as a series of membrane-bound complexes: enzyme/cofactor/substrate.

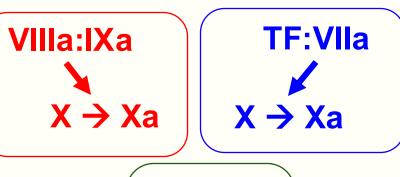




# Three Complexes of the "Classic Cascade"

Pathway	Complex	Enzyme	Cofactor	Substrate	Product
<b>Intrinsic Pathway</b>	Intrinsic Xase	IXa	VIIIa	X	Xa
<b>Extrinsic Pathway</b>	Extrinsic Xase	VII/VIIa	TF	X	Xa
Common Pathway	Prothrombinase	Xa	Va	II	IIa

#### **Intrinsic Xase**



**Extrinsic Xase** 



**Prothrombinase** 



# "Cross-Over" of Extrinsic and Intrinsic Pathways



# Deficiencies of Different Factors Within the Intrinsic Pathway Lead to Different Clinical Manifestations

Contact
System

XII, PK, HMWK

No bleeding

Factor XI Deficiency:

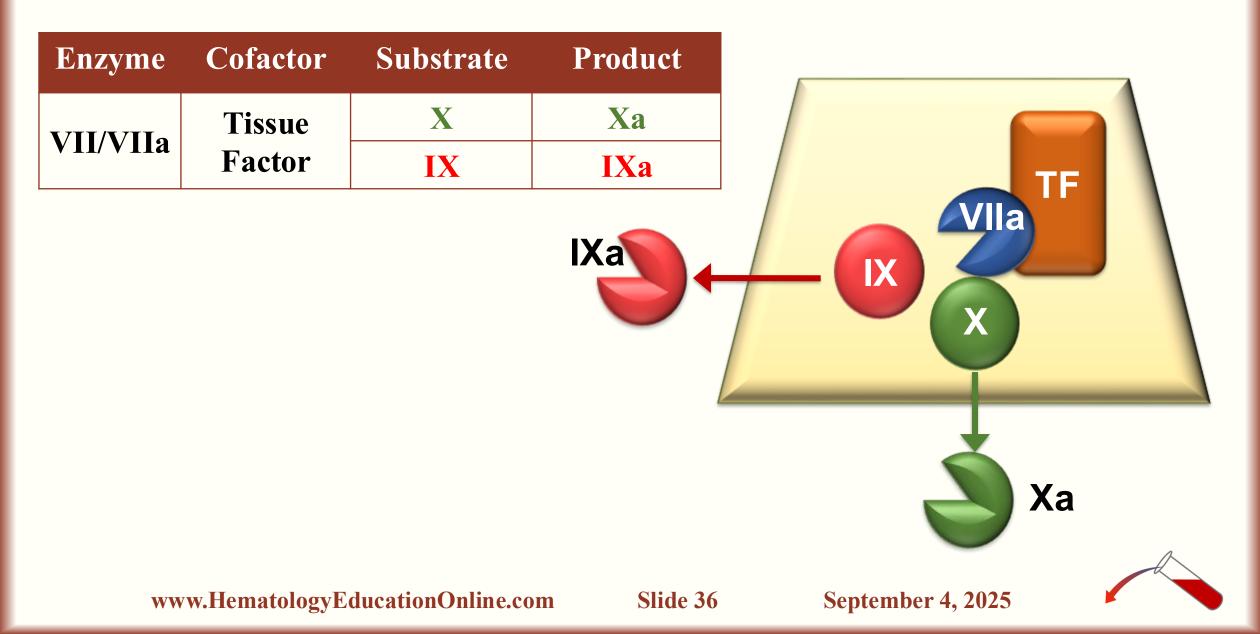
Mild to no bleeding

Factor VIII, IX:

(Hemophilia A, B), Severe Bleeding

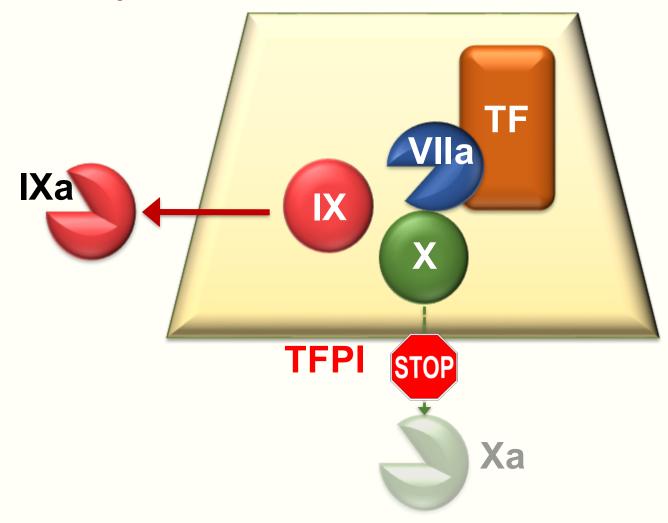


# There Are Two Alternative Substrates of TF:VIIa Complex



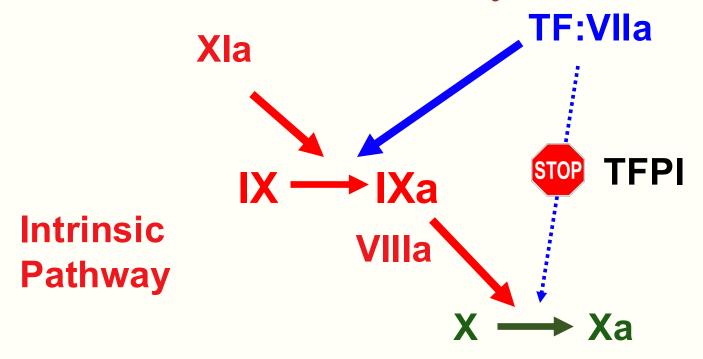
## Tissue Factor Pathway Inhibitor (TFPI)

- > TFPI inhibits activation of Factor X by TF:VIIa.
- > Therefore, In Vivo, the primary substrate of FVIIa is F IX.
- > In addition to activation of Factor X, the Extrinsic Pathway "Crosses Over" into the Intrinsic Pathway.



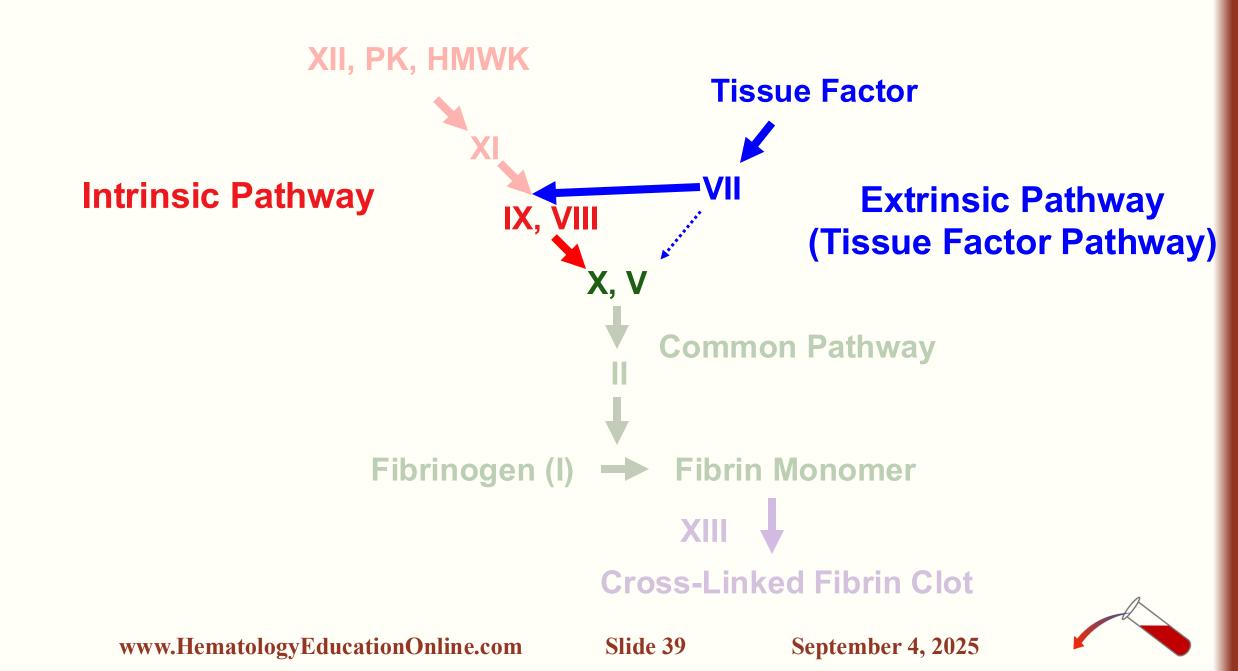


## Factor IX Can Be Activated By TF:VIIa or XIa



- > TF:VIIa has two substrates (IX or X).
- > IX can be activated by two different enzymes (XIa or VIIa)
- > The concept of a simple "cascade," with an ordered process of one factor activating the next, is not the complete picture.
- > In vivo, the "Common Pathway" starts with VIII and IX.





## The Thrombin Burst: Activation of Factors V, VIII, XI, XIII by Thrombin:



https://commons.wikimedia.org/wiki/File:Most distant Gamma-ray burst.jpg



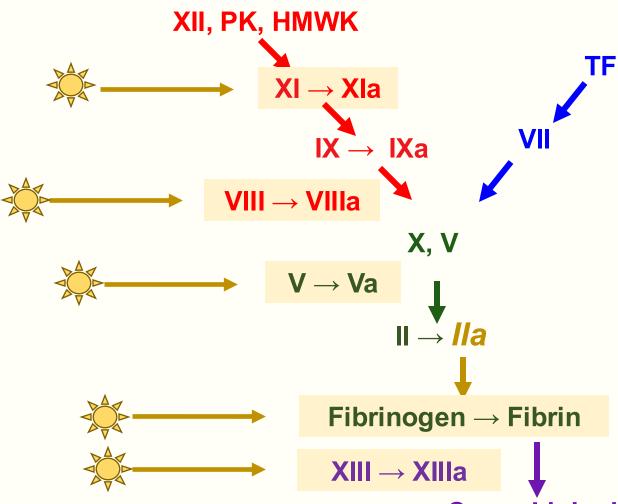
## Thrombin: Multiple Roles In Coagulation

- > How are Factors V and VIII activated?
- > How is Factor XIII activated?
- > Concept of Thrombin Burst: There are several steps within the coagulation cascade where thrombin participates in positive feedback processes, to greatly amplify the pro-coagulant state.



## Thrombin Feedback;

Activation of Factors V, VIII, XI, XIII



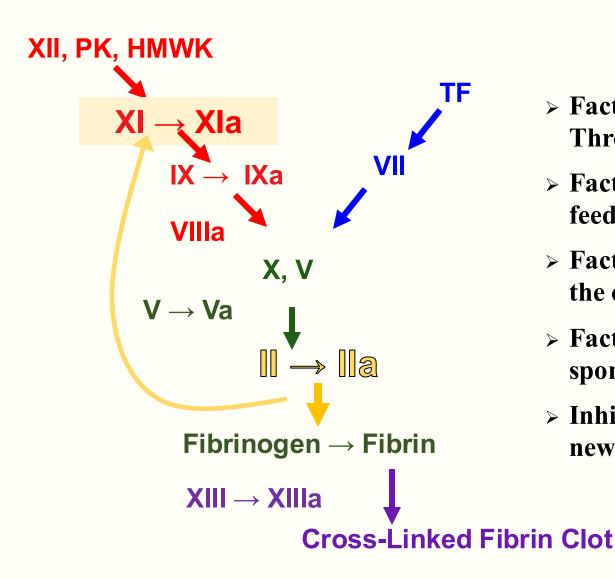


#### **Procoagulant Activities of Thrombin**

- 1. Cleavage of Fibrinogen
- 2. Activation of Factor V
- 3. Activation of Factor VIII
- 4. Activation of Factor XI
- 5. Activation of Factor XIII
- **6.** [Activation of Platelets]



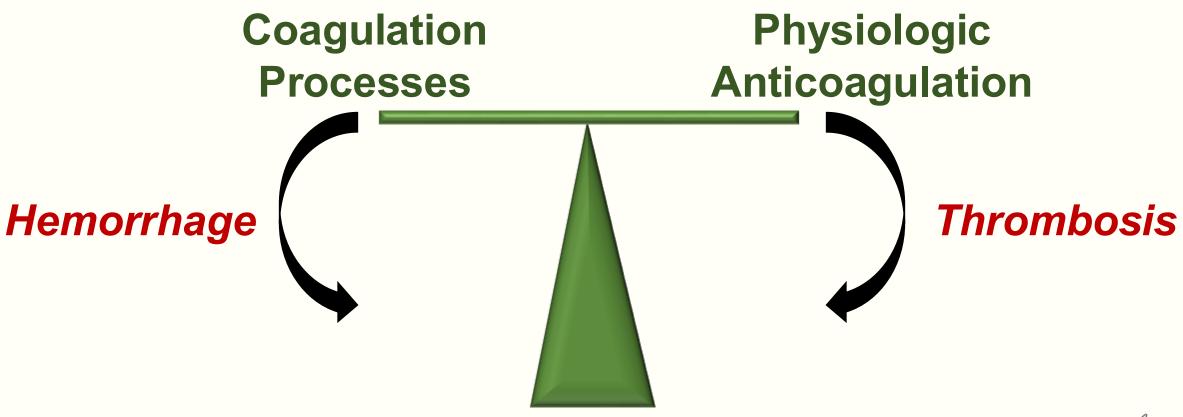
#### **Role of Factor XI**



- > Factor XI can be activated by XIIa or Thrombin.
- > Factor XI is a component of a positive feedback loop.
- > Factor XI also links the Contact System with the core coagulation pathway.
- > Factor XI deficiency is rarely associated with spontaneous bleeding.
- > Inhibition of Factor XI is being explored as new option for therapeutic anticoagulation!



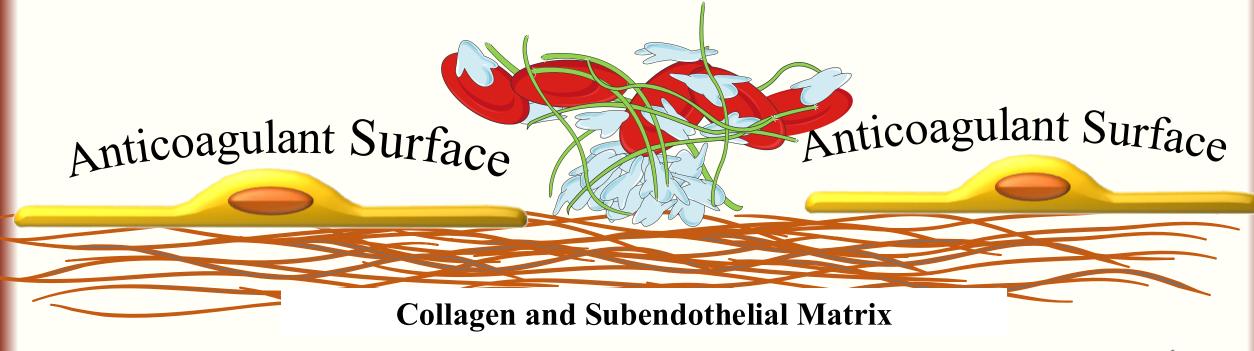
## The Hemostatic Balance: Physiologic Anticoagulation Processes





## Vascular Endothelial Cells Present Anticoagulant Surface

- > Vascular endothelial cells present anticoagulant surface.
- > Disruption of endothelial surface exposes blood to Collagen and Subendothelial Matrix (procoagulants) leading to activation of coagulation.
- > Deficiency of physiologic anticoagulants leads to activation of coagulation.





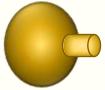
## Physiologic Anticoagulation Processes on Endothelial Cells

Pathway	Activity	Effect
Heparan Sulfate (Glycosaminoglycan)	Heparan binds Antithrombin	Heparan: AT complex neutralizes coagulation enzymes
Thrombomodulin & Endothelial Protein C Receptor	Thrombomodulin binds Thrombin EPCR binds protein C	Thrombin:TM complex has reduced procoagulant activity. Activates protein C which inactivates Cofactors
Tissue Factor Pathway Inhibitor	TFPI inhibits direct activation of Factor X by TF:VIIa complex	Directs TF:VIIa activity towards activation of F IX to IXa.
CD39-Ecto ADPase	Degrades ADP	Reduced ADP, reduced platelet activation
NO Synthase	Synthesis of Nitric Oxide	Relaxes smooth muscle and inhibits platelet activation
Cyclooxygenase 2	Synthesis of Prostacyclin (PGI2)	Relaxes smooth muscle and inhibits platelet activation



#### **Antithrombin: Inactive Conformation**

**Thrombin** 







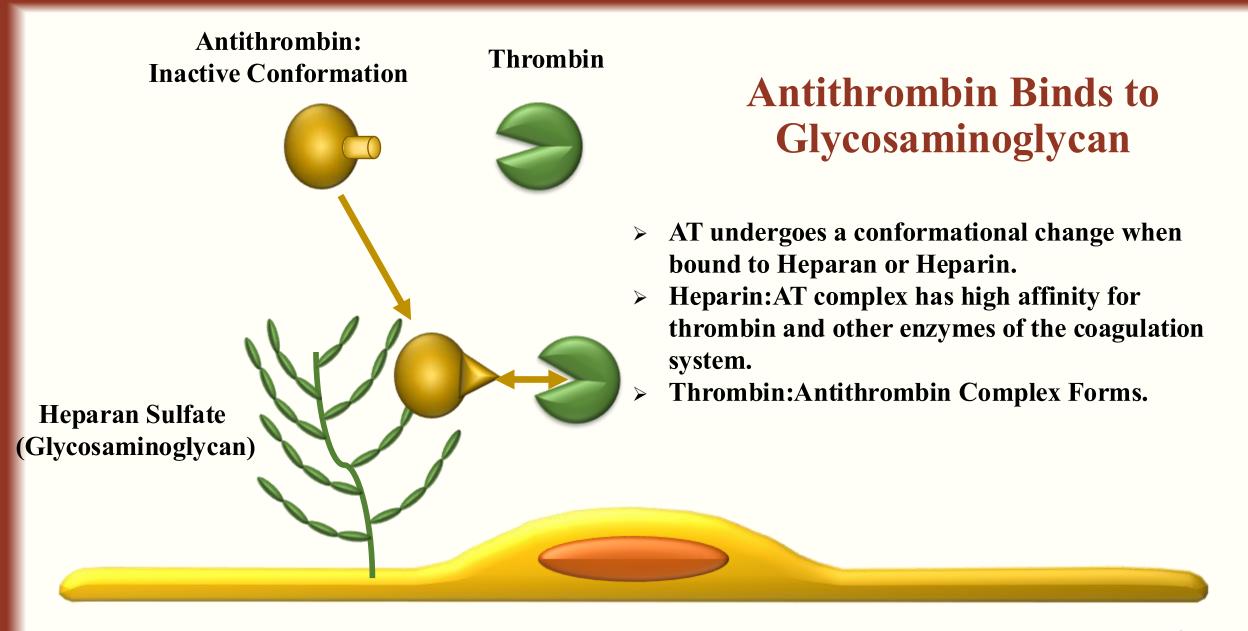
Antithrombin, in fluid phase is unable to bind thrombin or other enzymes.

**Antithrombin** 



**Endothelial Cell** 

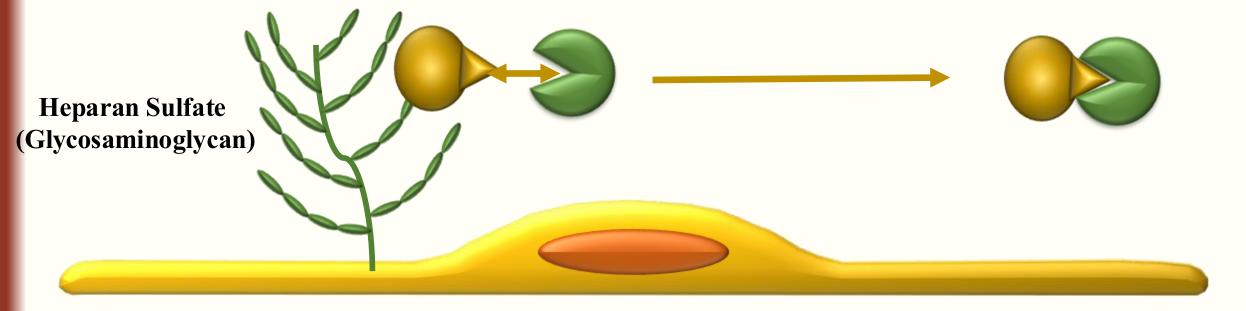






## Antithrombin: Glycosaminoglycan

Thrombin: Antithrombin Complex Dissociates from Glycosaminoglycan and is cleared in the liver.

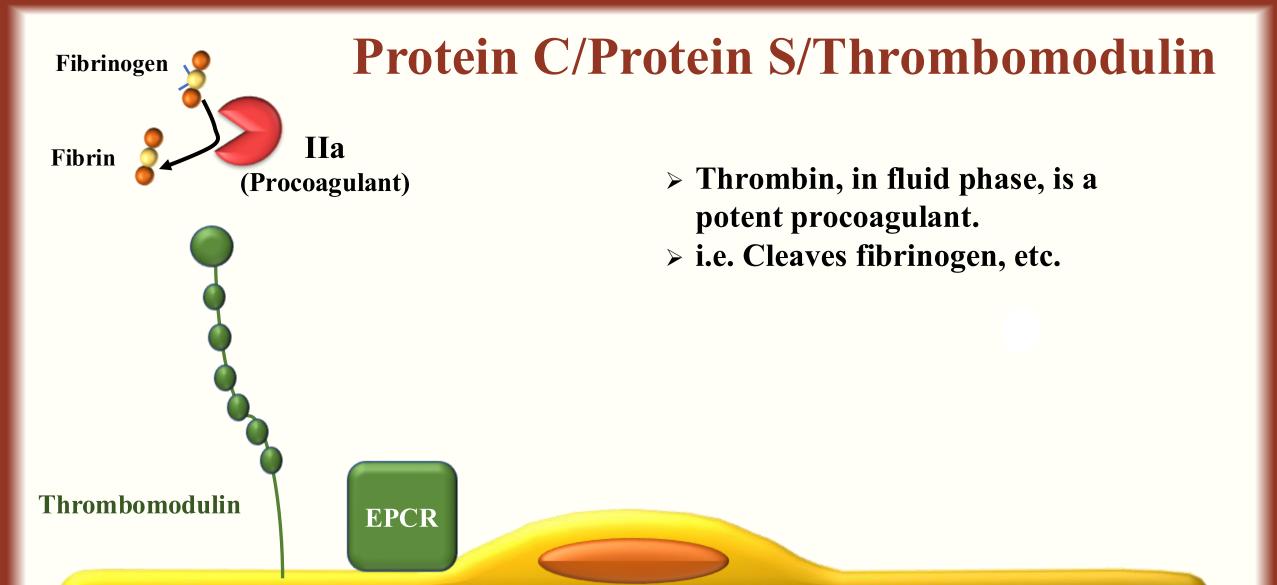




## Protein C/Protein S/Thrombomodulin System

- > Constituents:
  - > Protein C
  - > Protein S
  - > Thrombomodulin
  - > Endothelial cell protein C receptor (EPCR)
- Activated Protein C (With cofactor Protein S) inactivates FVa and FVIIIa, the cofactors of the cascade.
- > EPCR localizes Protein C/Ca to endothelial cell surface.
  - May have non-coagulation roles.

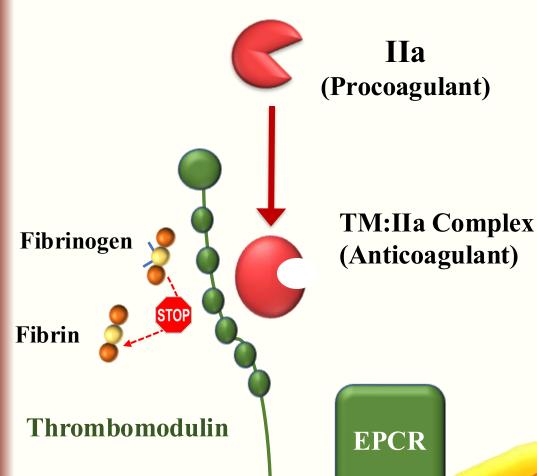




**EPCR: Endothelial Cell Protein C Receptor** 



### Protein C/Protein S/Thrombomodulin



- > Thrombomodulin (TM) is expressed on normal endothelial cells.
- > When Thrombin binds to TM, thrombin loses its procoagulant activity and gains anticoagulant activity.

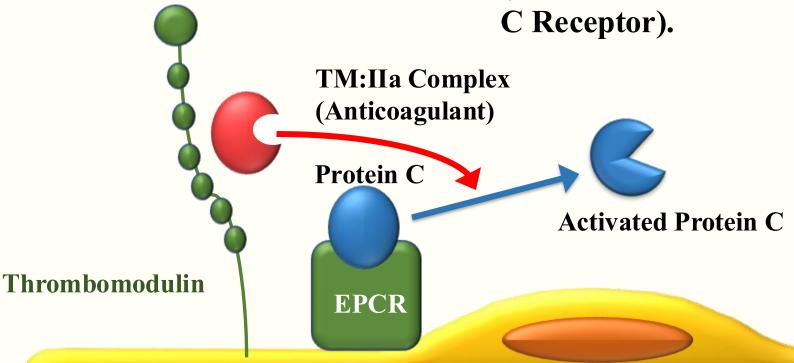
**Activated Protein C** 

**EPCR: Endothelial Cell Protein C Receptor** 



## Protein C/Protein S/Thrombomodulin

- > Thrombin: Thrombomodulin complex cleaves and activates Protein C.
- > (Protein C localizes to Endothelial Cell Protein

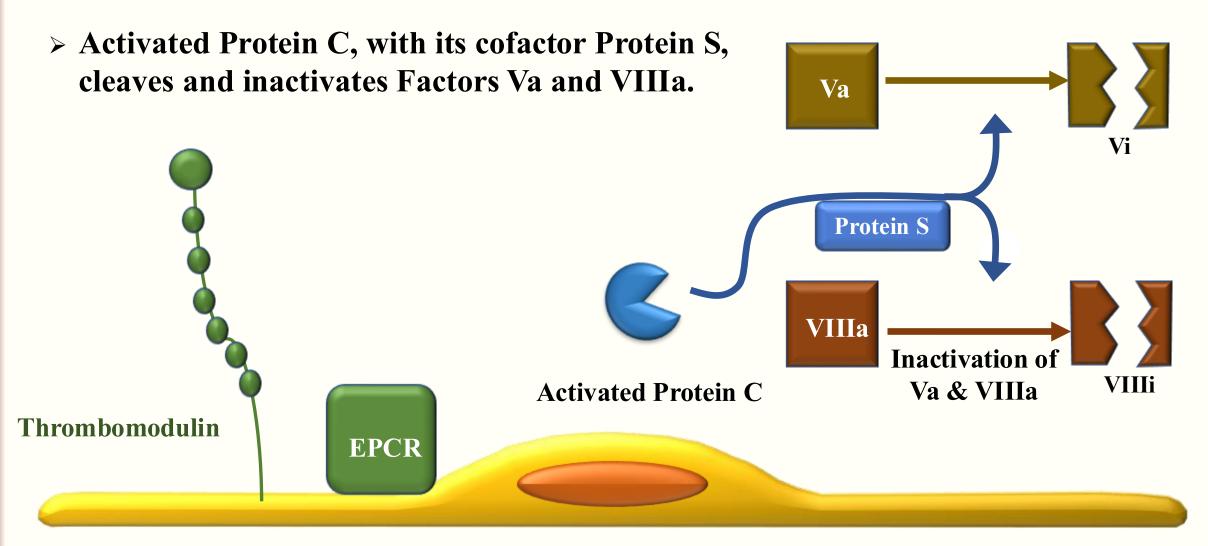


**EPCR: Endothelial Cell Protein C Receptor** 



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## Protein C/Protein S/Thrombomodulin



**EPCR: Endothelial Cell Protein C Receptor** 

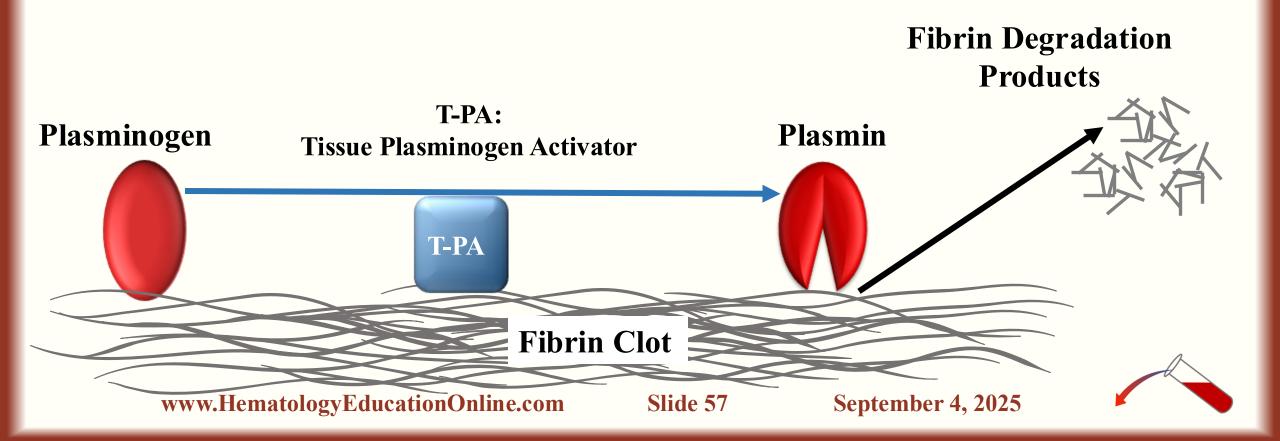


## Fibrinolytic Pathway

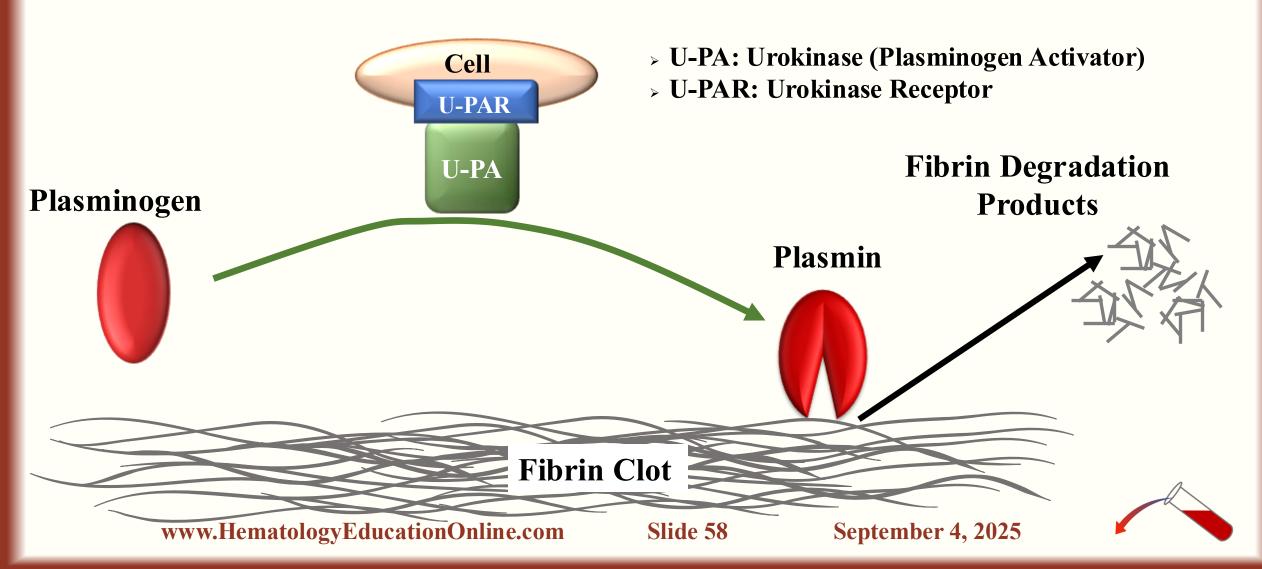
- Plasminogen
  - > Activated to Plasmin (a serine proteinase)
  - > Plasmin proteolyzes fibrin and fibrinogen
- Plasminogen Activators
  - > t-PA (Tissue-Plasminogen Activator)
    - > Localizes to fibrin clot
  - > u-PA (Urokinase-Plasminogen Activator)
    - > Localizes to cell membrane uPA receptor.
  - > Released by endothelial cells.
- Inhibitors/Serpins
  - > PAI-1, PAI-2; Plasminogen Activator Inhibitors
  - $\triangleright$   $\alpha$ 2-Antiplasmin.



## Fibrinolytic Pathway: T-PA, Fibrin Clot Based Activation



# Fibrinolytic Pathway: U-PA/U-PAR, Cell Based Activation



## Fibrinolytic Pathway: Inhibitors

