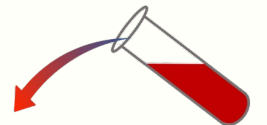


# Laboratory Tests of Hemostasis (Part 2)

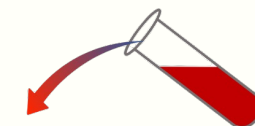


**Gerald A. Soff MD**  
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# Disclosures

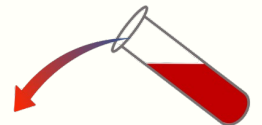
- Research Support (Past 5 years):
  - Amgen
  - Janssen Scientific Affairs
  - Sobi/Dova Pharmaceuticals
  - Anthos Therapeutics
- Advisory Boards (Past 5 years)
  - Janssen Scientific Affairs
  - Sobi/Dova Pharmaceuticals
  - Luzsana (HengruiUSA) Biotechnology
  - Sanofi



# Learning Objectives:

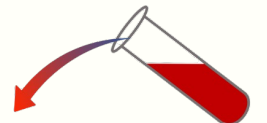
## Part 2: Laboratory Tests of Thrombotic Disease

- Describe the pathophysiology of heparin-induced thrombocytopenia and discuss the appropriate use of screening and confirmatory tests.
- Discuss the effect of lupus anticoagulant on coagulation screening tests and describe the test principle and interpretation of confirmatory tests.
- List appropriate laboratory screening tests for the evaluation of patients with inherited thrombophilia.
- Discuss the effect of therapeutic anticoagulants on the reliability of results of clot based, chromogenic, immunologic and molecular tests.



# Material To Cover

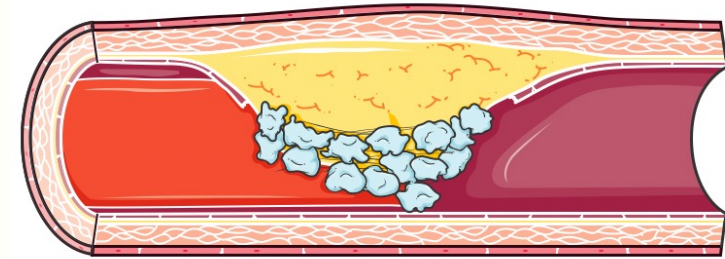
1. *The Hemostatic Balance*
2. *Overview of The Coagulation Cascade and Testing*
3. *Functional and Immuno Assays*
4. *The Prothrombin and Activated Partial Thromboplastin Times*
5. *Other Tests:*
  - *Anti-Xa Heparin Assay*
  - *Thrombin Time*
  - *Fibrinogen Assay*
  - *D-Dimer*
  - *Thromboelastography (TEG) and Thromboelastometry (ROTEM)*
6. *Interpretation of Prolonged PT and/or aPTT Results*
7. *Tests Of Thrombotic Diseases*
8. *Heparin Induced Thrombocytopenia/Thrombosis (HITT): Pathophysiology*
9. *Antiphospholipid Antibody Syndrome*
10. *Laboratory Testing for Thrombophilia (Hypercoagulable State)*
11. *APC-Resistance—Screening Assay For Factor V Leiden*
12. *Conditions That Impact Tests for Thrombotic Risk Factors.*
13. *If/When to Do Hypercoagulable Work-up*



# Arterial vs Venous Thrombotic Disease

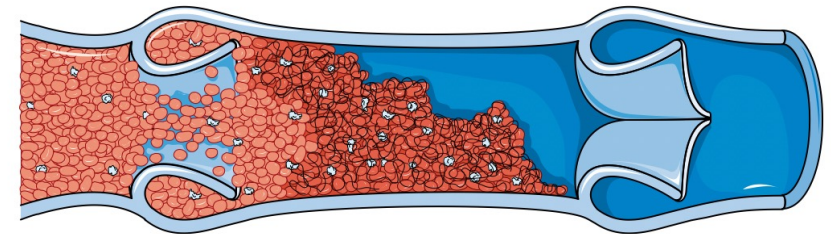
## ➤ Arterial thrombosis

- **Limited laboratory tests available**
- **Vascular Damage**
- **Atherosclerotic risk factors**
- **Platelet activity**

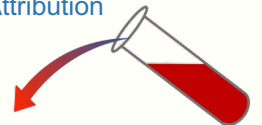


## ➤ Venous Thrombosis

- **Laboratory testing available for specific components, but no screening or global tests.**
- **Decreased regulation of coagulation**
- **Increased procoagulant activity**
- **Decreased fibrinolytic activity**
- **Non-Hematologic parameters**



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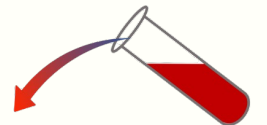
# The Hemostatic Balance: Testing

## Tests of Hemorrhagic Tendency

- PT
- APTT
- Fibrinogen
- Thrombin Time
- D-dimer
- Factor Assays
- VWD testing

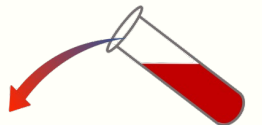
## Tests of Thrombotic Tendency

- HIT
- Lupus Anticoagulant
- Protein C
- Protein S
- Antithrombin
- FV Leiden
- PT G20210A
- Homocysteine ?

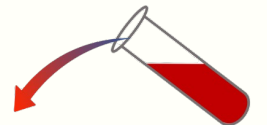
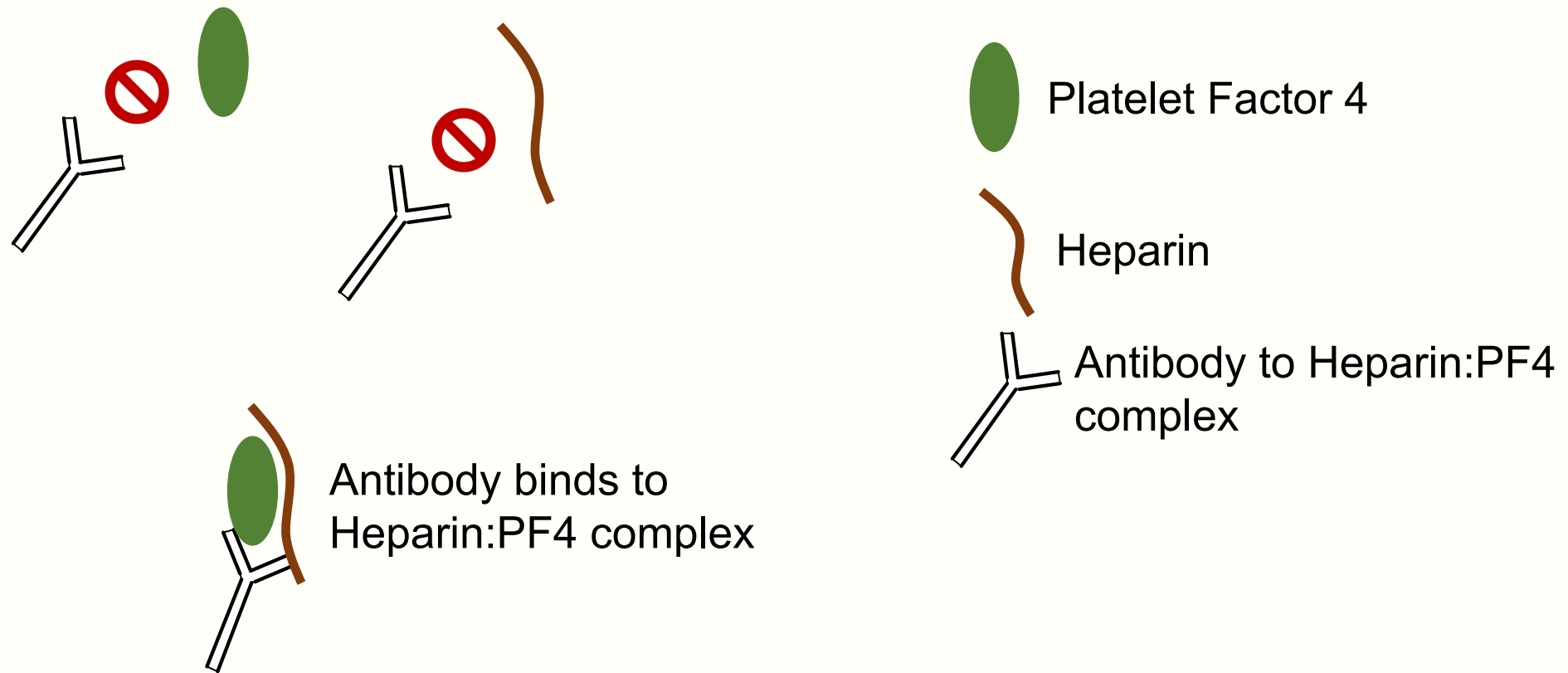


# *Heparin Induced Thrombocytopenia/Thrombosis (HITT)*

- Full lecture to follow later in the year.
- Here we will focus on testing.



# Antibody:Heparin:PF4 Complex Associated With Arterial, Venous, and Microvascular Thrombosis.

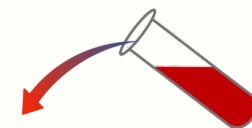




# AVOID THIS PLEASE!



- Cormack GM & Kaufman LJ. Journal of Medical Case Reports 2007, 1:13.

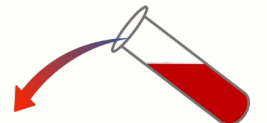


# 4T Scoring System for Pretest Probability

Points	2	1	0
Thrombocytopenia	>50% fall in PLT or PLT nadir of 20K-100K	30-50% fall in PLT or PLT nadir 10K-19K	<30% fall in PLT or PLT nadir of <10K
Timing	5-10 d post heparin [ <1 day if previous heparin within 100 days]	Unclear or PLT fall after 10 days	PLT fall <5 days and without recent heparin
Thrombosis	New thrombosis, skin necrosis	Progressive or recurrent thrombosis, some skin lesions e.g., erythema	None
Other causes of Thrombocytopenia	None	Possible	Other causes clearly identified

**Score  $\leq 3$ : < 5% chance of HIT**  
**Score 4-5: Intermediate risk**  
**Score  $\geq 6$ : Very high risk of HIT**

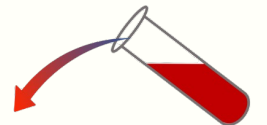
Cuker, A. et al. Blood 2012, 120(20): 4160–4167.






# HIT Testing: Screening ELISA

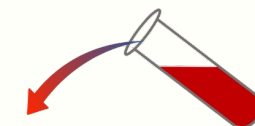
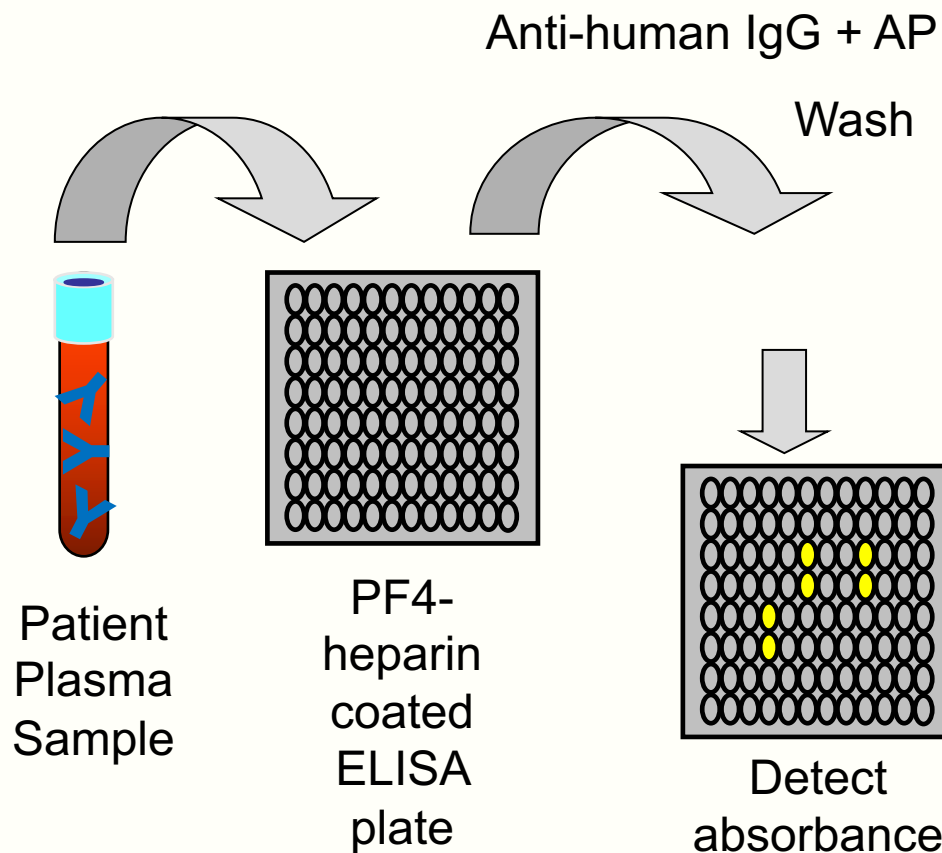
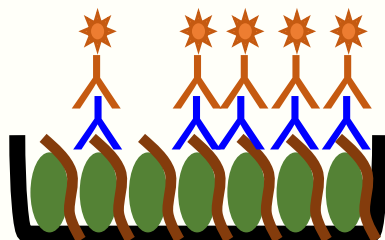
- Antibodies to heparin-PF4 complexes
  - Polyspecific Assay: Combined IgG, IgA, IgM titers
  - Monospecific Assay, IgG only titer (OD) more specific
    - McFarland et al, Am J Hematol. 2012 Aug; 87(8): 776–781.
- High Negative Predictive Value\*.
  - If the result is below a pre-specified cutoff, (Typically,  $<0.4$  OD units) can be confident that HIT is not present..
  - If result if  $>0.4$ , this does not indicate that HIT is present, but rather it still needs to be considered.

*\*A negative predictive value (NPV) is the probability that if the test is negative, the subject does not have the disease.*



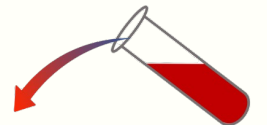
# ELISA-Based Assay

- Anti-human IgG + AP 
- Patient Antibody 
- Heparin:PF4 complex 



# HIT/T ELISA Results

- Negative ELISA screen– HIT unlikely
- Positive ELISA screen- consistent with HIT/T in the appropriate clinical setting. Does not mean that HIT/T is confirmed!
- Need confirmatory test (Serotonin Release Assay).

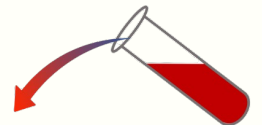


# Interpretation of HIT Titers In View of Serotonin Assay Confirmatory Results

HIT Titer (OD)	Probability of Serotonin Assay POSITIVITY
< 0.4	~0 - <1%
0.4 - < 1.00	< 5%
1.00 - 1.50	~ 25%
1.50 - < 2.00	~ 50%
≥ 2.00	>90%

Low titer positive screening test results usually do not require further work-up.

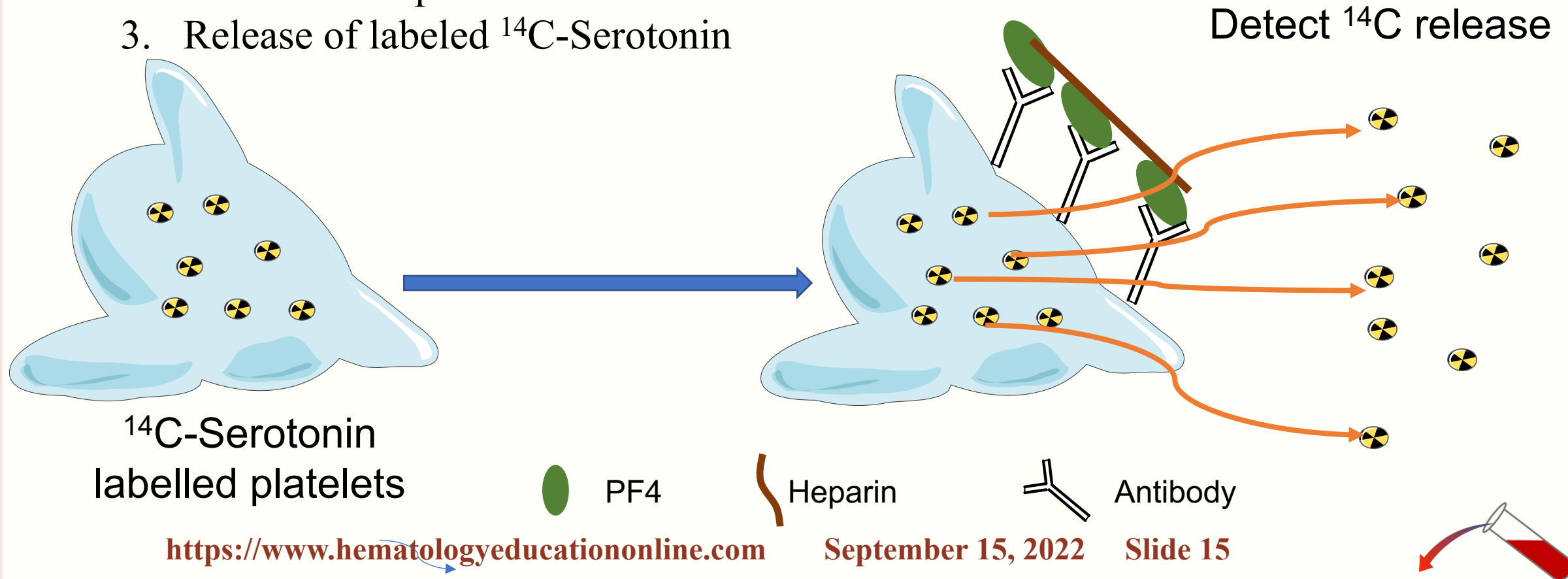
Warkentin et al. Thromb Haemost 2008;6:1304-12.



# HIT/T Testing: Serotonin Release Assay

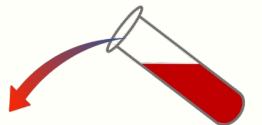
Uses fresh platelets, “loaded with  $^{14}\text{C}$ -Serotonin” in dense granules.

1. Exposure to Antibody:Heparin:PF 4 Complex.
2. Activation of platelets
3. Release of labeled  $^{14}\text{C}$ -Serotonin



# *Antiphospholipid Antibody Syndrome: Testing*

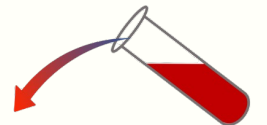
- Full lecture to follow later in the year.
- Here we will focus on testing.





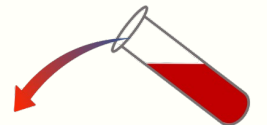
# Lupus Anticoagulant

- Heterogeneous antibodies against phospholipids and phospholipid binding proteins, that “usually” prolongs the aPTT.
- Prevalence of 1-4% in the general population. (Increases with Age.)
- A key component of the Antiphospholipid Antibody Syndrome.
- Prolongs Screening aPTT
  - Clinical aPTT reagents are variably sensitive to LA
  - Normal aPTT does not rule out a LA
- Not usually associated with bleeding
- Arterial/venous/small vessel thrombosis
- Pregnancy: Recurrent fetal loss.
  - Rarely patients may also have antibodies against prothrombin
    - Check PT for prolongation



# Lupus Anticoagulant Insensitive aPTT Reagents

- aPTT-FS = “Factor Sensitive”
  - May have different names, depending on manufacturer.
- Used to avoid inhibitory effect of LA on clot-based factor assays.
- Used to rule out significant coagulation factor deficiencies in setting of LA.
- Normal APTT Actin FS results rule out a significant factor deficiency.



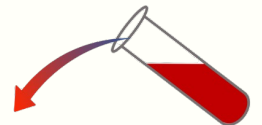
# Results in Patient with Lupus Anticoagulant

**aPTT: 62”**

**aPTT- FS: 32.1” (normal)**

## Mixing Studies

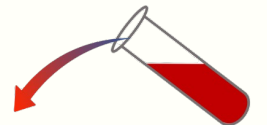
<b>aPTT</b>	<b>Patient</b>	<b>Normal Plasma (22.5-36.5”)</b>	<b>50/50 mix</b>
<b>Immediate</b>	<b>62.6”</b>	<b>29.4”</b>	<b>60.7”</b>
<b>1 Hour Incubation @ 37°C</b>	<b>64.1”</b>	<b>29.3”</b>	<b>67.5”</b>



# ISTH Guidelines for Lupus Anticoagulant Testing

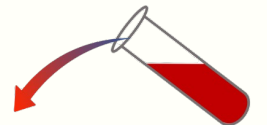
(Pengo V, et al. J Thromb Haemost 2009; 7: 1737–40)

- Specialized testing is required
- **Two tests based on *different principles***
  - dRVVT (activates common pathway)
  - *sensitive* aPTT (low phospholipid and **silica** as activator)
  - A single test will detect only 60 -80% of cases
  - Both tests used together have a 20% false negative rate for low and intermediate titer lupus anticoagulants
- ***LA considered positive if one of the two tests gives a positive result.***
- False positive rate: ~10%
  - (Dembitzer et al, Am J Clin Pathol 2010; 134:764-773)



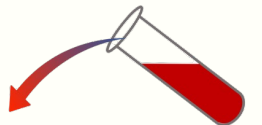
# Lupus Anticoagulant Testing: Interferences

- DOACs (dabigatran, rivaroxaban, apixaban) even at trough levels produce false positive results in 20-40% of patients.
  - (Ratzinger F, et al. *Thromb. & Haemost.* 2016; 116:235-240)
- Warfarin may produce false positive DRVVT test results
  - Ortel T. *Am J Hematol.* 2012 May; 87(Suppl 1): S75–S81.
- Heparin may produce false positive aPTT based test results
  - Ortel T. *Am J Hematol.* 2012 May; 87(Suppl 1): S75–S81.

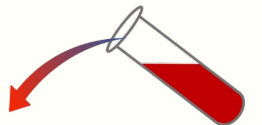


# Lupus Anticoagulant Testing:

- Assays may be transiently abnormal in setting of acute thrombosis.
- For diagnosis of APS, tests need to be repeated and confirmed to be persistent after 12 weeks.
- In addition to Lupus Anticoagulant, one can test for Antiphospholipid Antibodies by ELISA for:
  - Anti cardiolipin antibodies
  - Beta 2 glycoprotein 1 antibodies
  - (To be discussed at later date, in lecture on APS.)



# Tests for Other Thrombophilias



# Physiologic Anticoagulants

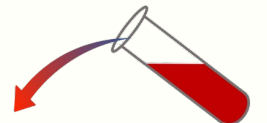
## Antithrombin (AT)\*

- With heparin/heparan as a cofactor, AT inactivates the activated serine protease enzymes of the coagulation system.
  - \*Previously referred to as Antithrombin 3. But there is no antithrombin 1 or 2, so it is now referred to as Antithrombin.

## Protein C/Protein S

- Inactivates the activated cofactors of the coagulation system.
  - Factors Va, VIIIa
- Activate Protein C also has anti-inflammatory activity.

- **Can be measured functionally and antigenically.**
- **Deficiencies of AT, Protein C and Protein S are autosomal dominant. i.e. ~50% levels are associated with thrombotic tendency.**
- **Rare cases of homozygous Protein C deficiency: Purpura Fulminans**



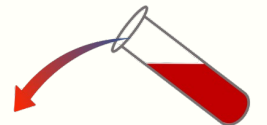
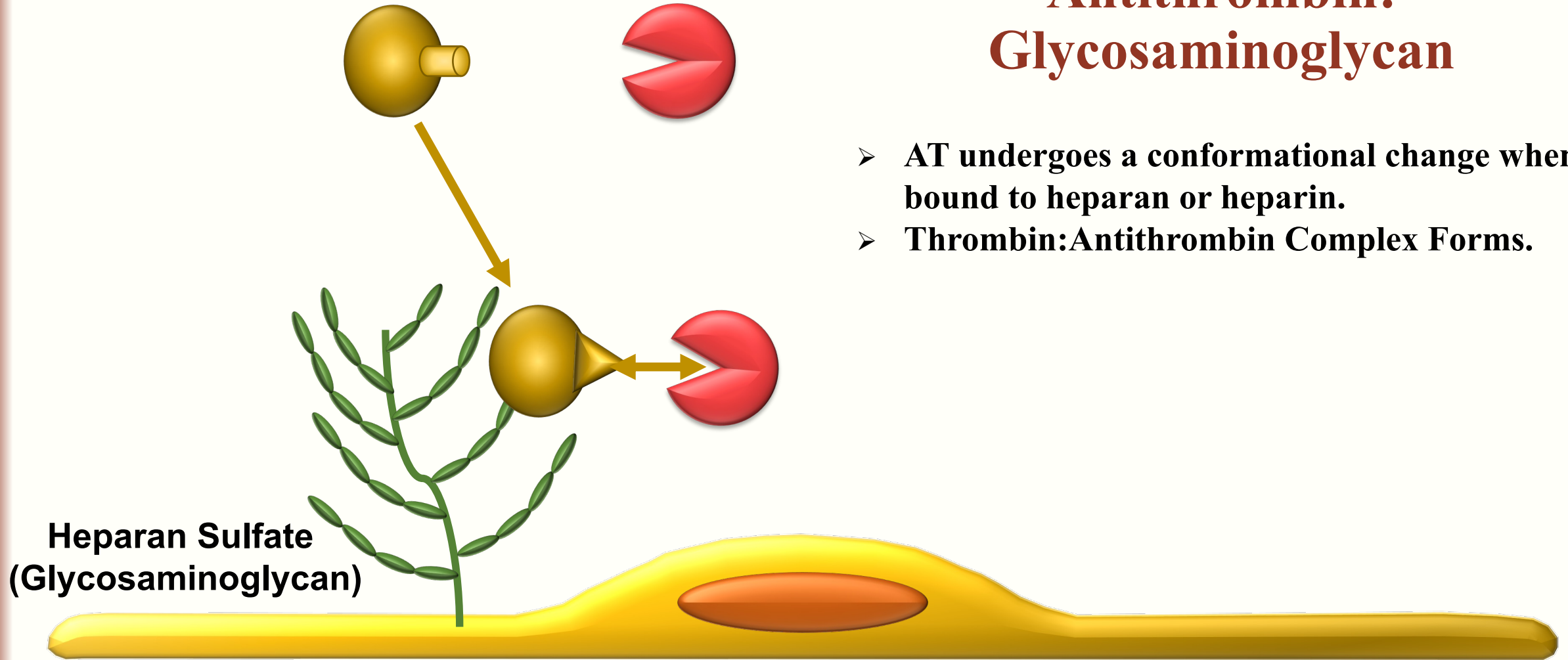


**Antithrombin:  
Inactive Conformation**

**Thrombin**

## **Antithrombin: Glycosaminoglycan**

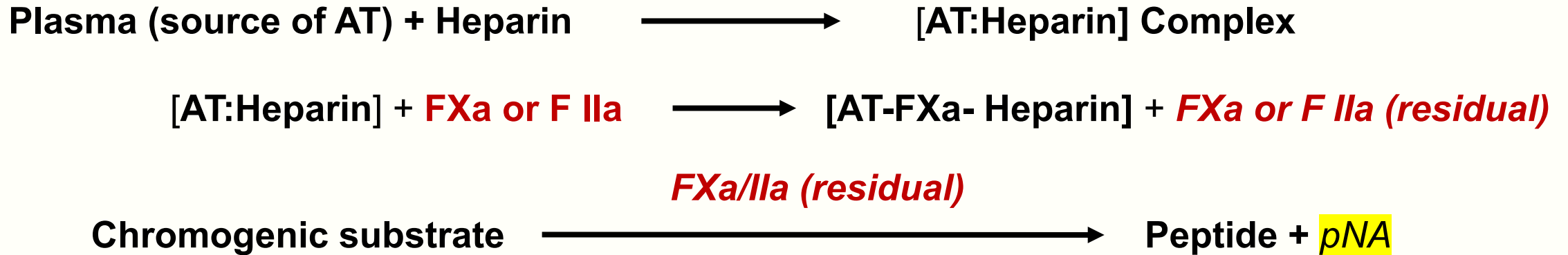
- **AT undergoes a conformational change when bound to heparan or heparin.**
- **Thrombin:Antithrombin Complex Forms.**



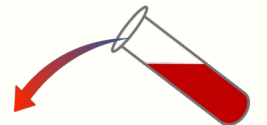


# Antithrombin Functional Assay

*The assay measures functional AT levels in plasma.*

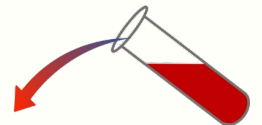


- Plasma (source of AT) is incubated with heparin and excess of Xa (or IIa).
- Residual Xa (or IIa) is determined by the rate of cleavage of the chromogenic substrate.
- The amount of product inversely proportional to the AT activity in the plasma sample.

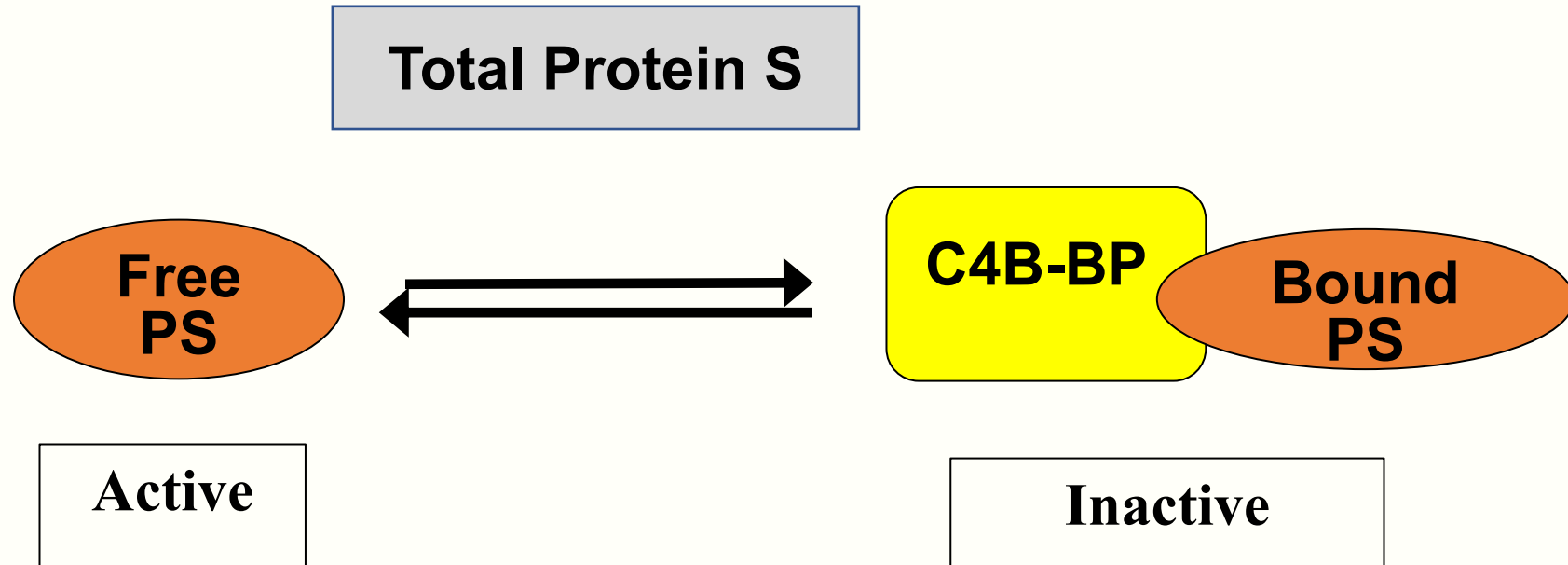


# Protein C Functional Assays

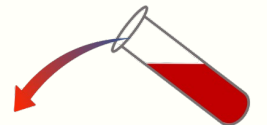
Clot-Based Assay	Chromogenic Assay
<ul style="list-style-type: none"><li>➤ Preatalytical variables</li><li>➤ <u>False low levels</u><ul style="list-style-type: none"><li>➤ FVIII</li><li>➤ FVL</li><li>➤ Hyperlipidemia</li></ul></li><li>➤ <u>False normal or high results</u><ul style="list-style-type: none"><li>➤ DOAC</li><li>➤ Heparin</li><li>➤ Lupus Anticoagulant</li></ul></li></ul>	<ul style="list-style-type: none"><li>➤ Subject to <b>fewer</b> preanalytical variables</li><li>➤ Detects <b>most</b> functional defects but not all</li></ul>



# Protein S Circulates in Two Forms



- Equilibrium between bound and free Protein S.
- Normally, ~60% of total Protein S is bound to C4b Binding Protein.
- Increase in C4B-BP reduces levels of free Protein S.



# Three Types Protein S Assays

## 1. Clot-based functional PS assay—"activity" assay

Based on APC inactivation of FVa and FVIIIa

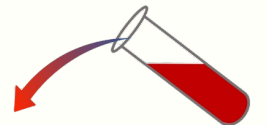
## 2. Antigenic - Free PS assay (represents functional PS)

Free PS is adsorbed on the C4BP latex particle → triggers an agglutination reaction with the second latex reagent which is sensitized with a monoclonal antibody directed against human Protein S

The degree of agglutination is directly proportional to the free PS concentration

## 3. Antigenic - Total PS assay

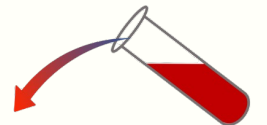
Immunologic assay that measures PS bound to C4BBP + free PS



# Three Types of Protein S Deficiencies

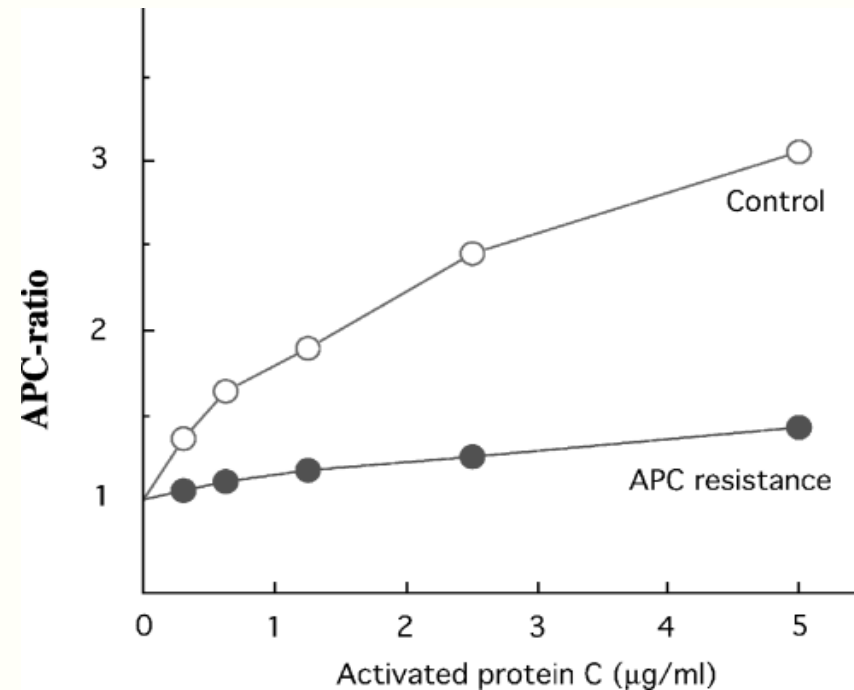
Type	PS (Activity)	PS (Free)	PS Total	C4B-BP
I	Decreased	Decreased	Decreased	Normal
II	Decreased	Normal	Normal	Normal
III	Decreased	Decreased	Normal	Elevated

- Type I protein S deficiency is a reduction in the level of free and total protein S.
- Type II deficiency is a reduction in the cofactor activity of protein S, with normal antigenic levels.
- Type III deficiency is a reduction in the level of free protein S only, due to increase in C4B-BP.
  - (Acquired due to pregnancy, oral contraceptives).

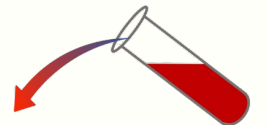


# Factor V:Leiden: Activated Protein C Resistance

- Reduced anticoagulation response to added aPC when added to plasma in aPTT assay.
- Originally hypothesized a novel cofactor to aPC.
- Now understood as substrate (Factor Va) resistance to aPC.
- First described in 1993: Dahlbäck B, et al. *Proc Natl Acad Sci USA* 1993; **90**: 1004 - 8.



Dahlbäck B. *J of Thrombosis Haemost*, Volume: 1, Issue: 1, Pages: 3-9, First published: 03 January 2003, DOI: (10.1046/j.1538-7836.2003.00016.x)



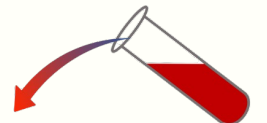


# APC-Resistance—Screening Assay For Factor V Leiden

- Ratio of aPTTs (+/- APC)

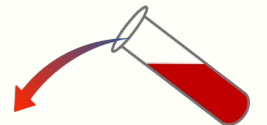
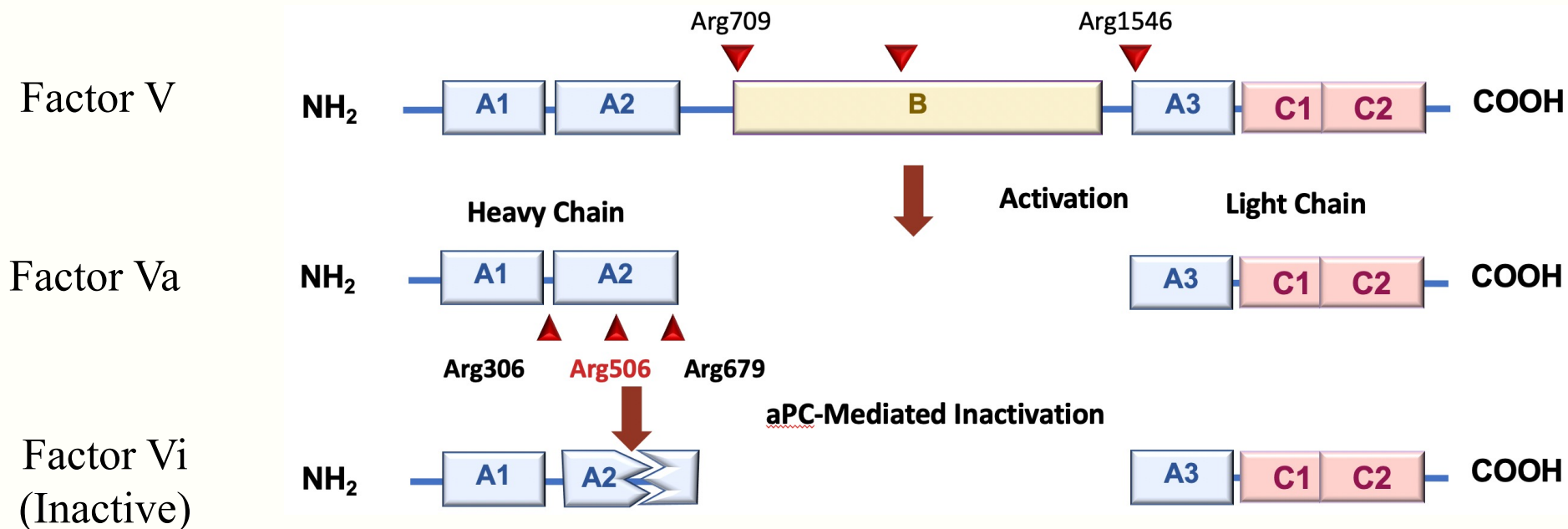
$$\frac{\text{(aPTT with APC)}}{\text{(aPTT without APC)}}$$

- Normal Ratio >2.0
- Assay is affected by
  - Lupus anticoagulant
  - DOAC
  - Cancer, pregnancy, inflammatory states
  - Elevated Factor VIII levels.
  - Other Factor deficiencies



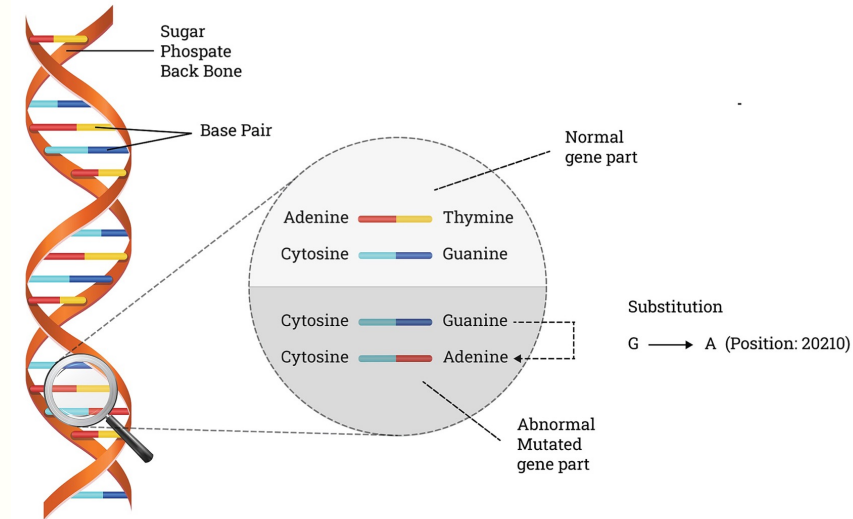
# Factor V:Leiden: Activated Protein C Resistance

- Inactivation of Factor Va involves four sequential cleavages of the membrane-bound procofactor at Arg306, Arg506, Arg679, and Lys994.
- Factor V:Leiden polymorphism (G1691A (R506Q)) is associated with activated protein C resistance, prolonged/enhanced activity of Factor Va, and thrombotic tendency.
- Now routinely tested by PCR/molecular tools.



# *Prothrombin Gene Mutation: (Prothrombin G20210A)*

- G-to-A point “polymorphism” at position 20210 in the 3' untranslated region of the prothrombin (factor II) gene.
- The polymorphism does not affect the protein-coding region (exons) of the gene.
- Increases mRNA half-life and Prothrombin levels.
  - Poort et al Blood. 1996;88(10):3698.

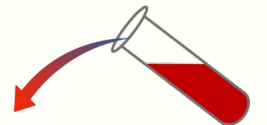


**FIGURE 2: Prothrombin G20210A Mutation**

G- Guanine & A- Adenine

Adapted from: [www.coagulationconversation.com/medical/risk-factors-thrombophilia-prothrombin-20210-mutation](http://www.coagulationconversation.com/medical/risk-factors-thrombophilia-prothrombin-20210-mutation)

Poudel S, Zeb M, Kondapaneni V, et al.  
(December 08, 2020) Association of G20210A  
Prothrombin Gene Mutation and Cerebral  
Ischemic Stroke in Young Patients. Cureus 12(12):  
e11984. DOI 10.7759/cureus.11984



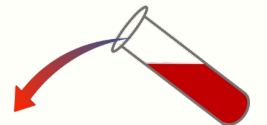
# Molecular Assays: PCR Assays

## Factor V Leiden

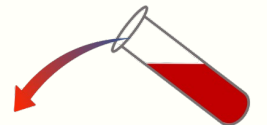
- Caused by single point mutation in the FV gene
- Substitution of adenine for guanine at 1691 – G1691A
- Changes arginine to glutamine at 506 – R506Q
- Molecular mechanism of most cases of APC Resistance

## F II Polymorphism

- Single nucleotide substitution G20210A in the 3' UT regions of the prothrombin gene
- G → A substitution at nucleotide 20210 in prothrombin gene
- Results in elevated levels of prothrombin (~30% increase)
- No screening test available



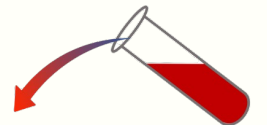
# *Laboratory Testing for Thrombophilia (Hypercoagulable State)*



# Laboratory Testing for Thrombophilia (Hypercoagulable State)

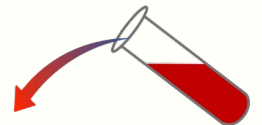
- No Screening test exists
- Requires a panel of tests
- Diagnosis of an abnormality can be made in ~50% of patients, depending on family history and presence/absence of provoking factors for the index venous thromboembolism.

1. Antiphospholipid Antibody Syndrome.
  - A. Lupus anticoagulant
  - B. Anti cardiolipin antibodies
  - C. Beta 2 glycoprotein I antibodies
2. Antithrombin (AT)
3. Protein C
4. Protein S
5. F V Leiden
6. Prothrombin G20210A
7. Homocysteine  
(Controversial if should be tested)



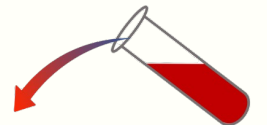
# When to Evaluate for Thrombophilia?

- Assays may be impacted due to anticoagulants, acute venous thromboembolism, inflammation, acute illnesses.
- THEREFORE, testing in setting of an acute thrombosis is not typically indicated.
- No consensus on if thrombophilia testing is indicated in most situations.



# Hypercoagulable Work-up

- Recurrent VTE rates weakly associated with thrombophilia. (Especially if provoked VTE).
- Why work-up?
  - Avoidance of oral contraceptives
  - Family knowledge
- Growing Consensus in Hematologic Community is to not routinely do hypercoagulable workup during acute episode of thrombosis.
- If one is going to do testing, wait until the thrombus has been treated.





# Routine Testing for Hereditary Thrombophilias in Patients With a First VTE ?

- “Routine testing for hereditary thrombophilias in patients with a first VTE is not helpful in predicting risk of recurrence or altering initial therapy.”
  - Galioto et al, Am Fam Physician. 2011 Feb 1;83(3):293-300
  - Christiansen et al . JAMA. 2005;293(19):2352–2361.
  - Kearon et al. Chest. 2008;134(4):892]. Chest. 2008;133(6 suppl):454S–545S.
  - Baglin T et al Lancet. 2003;362(9383):523–526.
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  - Segal JB et al. Evid Rep Technol Assess. 2009(180):1–162.

